

## Lie Algebra

$$g(X^a, X^b) = g_{ab}$$

$$\cdot [J^a, J^b] = if_{ab}^c J^c$$

结构常数

•  $K^{ab} \equiv K(J^a, J^b)$  是 Killing form 的分量

•  $\theta = \text{highest root}$ ,  $\rho = \text{Weyl vector}$

$$\cdot \text{co weight } \lambda^V = \frac{2\lambda}{(\lambda, \lambda)}$$

$$\cdot h^V \equiv (\theta^V, \rho) + 1 = \text{dual Coxeter \#}$$

$$h \equiv (\theta, \rho) + 1 = \text{Coxeter \#}$$

$G$	$h^V$
$A_{N-1} = SU(N)$	$N$
$B_N = SO(2N+1)$	$2N-1$
$C_N = Sp(2N, \mathbb{C})$	$N+1$
$D_N = SO(2N)$	$2N-2$
$E_6$	$12$
$E_7$	$18$
$E_8$	$30$

## WZW theory and Integrable models

- $G = SU(N)$

- $S_{\Sigma} = \frac{k}{16\pi} \int d^2x \text{Tr} \overbrace{\partial^{\mu} g^{-1} \partial_{\mu} g}^{\geq 0}$  动能项

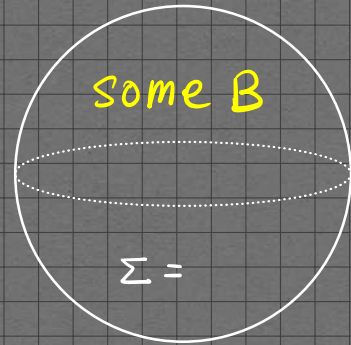
$$- \frac{ki}{24\pi} \int_B d^3y \epsilon^{\nu\lambda} \text{Tr} (g^{-1} \partial_{\nu} g g^{-1} \partial_{\lambda} g g^{-1} \partial_{\lambda} g)$$

- level  $k \in \mathbb{Z}$  (因  $B$  不唯一)

- $\text{Tr} J^a J^b = 2\delta^{ab}$  (与表示无关, 正比于 Killing form)

- EOM:  $\partial_z (\underbrace{g^{-1} \partial_{\bar{z}} g}_{J_{\bar{z}}}) = 0 \Rightarrow \partial_{\bar{z}} (\underbrace{\partial_z g^{-1} g}_{J_z}) = 0$

对称性  $g(z, \bar{z}) \rightarrow \Omega(z) g(z, \bar{z}) \bar{\Omega}(\bar{z})$  的守恒流



- OPE (from Ward identities of  $g \rightarrow \Omega(z) g \bar{\Omega}(\bar{z})$ )

$$J^a(z) J^b(w) \sim \frac{k K^{ab}}{(z-w)^2} + \frac{i f^{abc} J^c(w)}{z-w}$$

- $J^a(z) = \sum_m J_m^a z^{-m-1}$



$$[J_m^a, J_n^b] = i f^{abc} J_{m+n}^c + m k K^{ab} \delta_{m+n,0}$$

- Sugawara Stress tensor

$$T(z) \equiv \frac{1}{2(k+h^\vee)} \sum_{a,b} K_{ab} (J^a J^b)(z)$$

$$\Rightarrow L_N = \frac{1}{2(k+h^\vee)} \sum_{a,b} \sum_{m \in \mathbb{Z}} : J_m^a J_{N-m}^a :$$

$$L_0 = \frac{1}{2(k+h^\vee)} \sum_{a,b} K_{ab} (J_0^a J_0^b + 2 \sum_{m>0} J_{-m}^a J_m^b)$$

$$\Rightarrow c = \frac{k \dim g}{k+h^\vee}$$

$$\Rightarrow J^a \text{ is Virasoro primary, } T(z) J^a(w) \sim \frac{J^a(w)}{(z-w)^2} + \frac{\partial J^a(w)}{z-w}$$

- $\hat{\mathfrak{g}}_k \equiv \text{span} \{ J_m^a, k, L_0 \}$  称为 Affine Kac-Moody algebra.

(holomorphic part of WZW)

- 定义 WZW vacuum  $|0\rangle$ :

$$J_{n>0}^a |0\rangle = 0 \quad \bar{J}_{n>0}^a |0\rangle = 0$$

AKM vacuum  $|0\rangle$ :  $J_{n>0}^a |0\rangle = 0$

- 一组 WZW primary operators  $\phi_{\lambda, \mu}$  ( $\mathfrak{g}$ -weights  $\lambda \in \mathcal{R}, \mu \in \tilde{\mathcal{R}}$ )

$$J^a(z) \phi_{\lambda, \mu}(w, \bar{w}) = - \frac{T_{\mathcal{R}}^a \phi_{\lambda, \mu}(w, \bar{w})}{z-w} + \dots$$

$$\bar{J}^a(\bar{z}) \phi_{\lambda, \mu}(w, \bar{w}) = - \frac{T_{\tilde{\mathcal{R}}}^a \phi_{\lambda, \mu}(w, \bar{w})}{\bar{z}-\bar{w}} + \dots$$

- 一组 AKM primary operator / states:

$$J^a(z) \phi_{\lambda}(w) = - \frac{T_{\mathcal{R}}^a \phi_{\lambda}(w)}{z-w} + \dots$$

$$|\phi_{\lambda}\rangle \equiv \phi_{\lambda}(0) |0\rangle$$

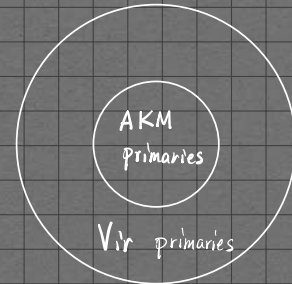
$$\Rightarrow \begin{cases} J_0^a |\phi_{\lambda}\rangle = -T_{\mathcal{R}}^a |\phi_{\lambda}\rangle \\ J_{n>0}^a |\phi_{\lambda}\rangle = 0 \end{cases} \Rightarrow \text{Span} \{ |\phi_{\lambda}\rangle \mid \lambda \in \mathcal{R} \} = \mathcal{R}$$



$$\bullet L_0 = \frac{1}{2(k+h^\vee)} \sum_{a,b} K_{ab} (J_0^a J_0^b + 2 \sum_{m>0} J_{-m}^a J_m^b)$$

$$\Rightarrow L_0 |\phi_\lambda\rangle = \frac{c_2(\mathcal{R})}{2(k+h^\vee)} |\phi_\lambda\rangle$$

$$L_{N>0} |\phi_\lambda\rangle = 0$$



$|\phi_\lambda\rangle$  is Vir primary with

$$h = \frac{c_2(\mathcal{R})}{2(k+h^\vee)} = \frac{1}{2(k+h^\vee)} \sum_{a,b} K_{ab} T_{\mathcal{R}}^a T_{\mathcal{R}}^b$$

• 从  $\text{span}\{|\phi_\lambda\rangle \mid \lambda \in \mathcal{R}\}$  出发

$J_{m<0}^a$  可产生 AKM descendants. 形成  $\hat{\mathfrak{g}}_k$ -module  $\hat{\mathcal{R}}$

$$\text{span}\{|\phi_\lambda\rangle\} = \mathcal{R}$$

$$\downarrow$$

$$J_{-1}^a \mathcal{R}$$

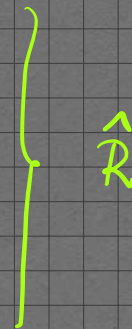
$$\downarrow$$

$$J_{-2}^a \mathcal{R}$$

$$J_{-1}^a J_{-1}^b \mathcal{R}$$

$$\downarrow$$

$$\vdots$$



$V =$  vacuum module

$\mathbb{I}(z)$

$|0\rangle$

$\text{span}\{|\phi_\lambda\rangle\} = \mathcal{R}$

$J^a(z)$

$J_{-1}^a |0\rangle$

$J_{-1}^a \mathcal{R}$

$\partial J^a(z), (J^a J^a)(z)$

$J_{-2}^a |0\rangle$

$J_{-1}^a J_{-1}^b |0\rangle$

$J_{-2}^a \mathcal{R}$

$J_{-1}^a J_{-1}^b \mathcal{R}$

$\vdots$

$\vdots$

•  $\hat{\mathfrak{g}}_k$  中有许多  $su(2)$  子代数.

•  $su(2)_{n,\alpha} : \left\{ J_3 \equiv \frac{n}{|\alpha|^2} k + \frac{\alpha \cdot H_0}{|\alpha|^2}, J_{\pm} \equiv E_{\pm n} \right\}$

↑  
a root of  $\mathfrak{g}$

• 定理.

若相对  $\forall su(2)_{n,\alpha}$   $\hat{\mathfrak{R}}$  总可分解为  $su(2)$  有限维表示直和. 则

$$(\lambda_{\mathfrak{R}}, 0) \leq k$$

• 定义: 这样的  $\hat{\mathfrak{R}}$  称为  $\hat{\mathfrak{g}}_k$  的 integrable 表示.

由于  $(\lambda_{\mathfrak{R}}, 0) \geq 0$  必有  $k \geq 0$ .

来自  $\langle \phi_\lambda | \underbrace{E_{+1}^{-0} E_{-1}^{+0}}_{\text{Hermitian conj.}} | \phi_\lambda \rangle = \langle \phi_\lambda | J^- J^+ | \phi_\lambda \rangle \geq 0$  条件

或从  $su(2)_{1,0}$  表示有限维性:  $J^+ | \phi_{\lambda_R} \rangle = E_{+1}^{-0} | \phi_{\lambda_R} \rangle = 0$

$\Rightarrow | \phi_{\lambda_R} \rangle$  是  $su(2)_{1,0}$  的 HW state

而  $J^3$  on  $| \phi_{\lambda_R} \rangle$  本征值  $\frac{2k}{|0|^2} - (\lambda_R, 0^\vee)$ ,  $\lambda' \in \mathcal{R}_\lambda$

特别地 (取  $|0|^2=2$ )

$$k - (\lambda_R, 0) \geq 0 \quad (\text{因为是 } su(2)_{1,0} \text{ HW state})$$

• 给定  $\mathfrak{g}$  及  $k \in \mathbb{N}_{>0}$ , integrable 表示是有限的.





$$sl(2)_{-\frac{1}{2}}$$

$$\bullet \quad \underbrace{-\frac{1}{2}}_k + \underbrace{2}_{h^\vee} = \frac{3}{2}, \quad p=3, \quad q=2, \quad p > h, \quad h^\vee$$

$$\Rightarrow k = -\frac{1}{2} \text{ admissible.}$$

$$\bullet \quad \text{can be realized from } \beta(z)\gamma(w) \sim \frac{1}{z-w}$$

$$e = -\frac{1}{2}(\gamma\gamma) \quad h = (\gamma\beta) \quad f = \frac{1}{2}(\beta\beta)$$

4 admissible modules

	$[\hat{\lambda}_0, \hat{\lambda}_1]$	$h$	
$\hat{L}_0$	$[-\frac{1}{2}, 0]$	0	vacuum module.
$\hat{L}_{-\frac{3}{2}}$	$[1, -\frac{3}{2}]$	$-\frac{1}{8}$	
$\hat{L}_1$	$[-\frac{3}{2}, 1]$	$\frac{1}{2}$	
$\hat{L}_{-\frac{1}{2}}$	$[0, -\frac{1}{2}]$	$-\frac{1}{8}$	

$$ch_M \equiv \frac{1}{2} \text{tr}_M y^k z^{h_0} q^{L_0} - \frac{c_{24}}{24}$$

$$ch_{\hat{L}_0} = \frac{y^{-\frac{1}{2}}}{2} \left[ \frac{\eta(\tau)}{\vartheta_4(z)} + \frac{\eta(\tau)}{\vartheta_3(z)} \right]$$

$$ch_{\hat{L}_1} = \frac{y^{-\frac{1}{2}}}{2} \left[ \frac{\eta(\tau)}{\vartheta_4(z)} - \frac{\eta(\tau)}{\vartheta_3(z)} \right]$$

$$ch_{\hat{L}_{-\frac{1}{2}}} = \frac{y^{-\frac{1}{2}}}{2} \left[ \frac{-i\eta(\tau)}{\vartheta_1(z)} + \frac{\eta(\tau)}{\vartheta_2(z)} \right]$$

$$ch_{\hat{L}_{-\frac{3}{2}}} = \frac{y^{-\frac{1}{2}}}{2} \left[ \frac{-i\eta(\tau)}{\vartheta_1(z)} - \frac{\eta(\tau)}{\vartheta_2(z)} \right]$$

Modular invariant P.F.

$$\sum_i |ch_{\hat{L}_i}|^2$$

- $\widehat{su}(2)_{-\frac{1}{2}}$  对  $\vec{\alpha}$  free HM with  $\mathbb{Z}_2$  flavor symm gauged.

$$\beta \sim Q \quad \gamma \sim \tilde{Q}$$

(see later)

- $\widehat{su}(2)_{k=-\frac{4}{3}}$  also admissible. = 3 admissible modules

$$[-\frac{4}{3}; 0] \quad [-\frac{2}{3}; -\frac{2}{3}], \quad [0, -\frac{4}{3}]$$

## Critical AKM

- $\hat{g}_k = -h^\vee$
- $T_{\text{ Sug}} \propto \frac{1}{k+h^\vee} \sum_{a,b} K_{ab} J^a J^b$  cannot be defined. (没有能动张量)
- $L_0, L_{-1}$  还是可以定义的

$$[L_0, J_n^a] = -n J_n^a, \quad [L_{-1}, J^a(z)] = \partial J^a(z)$$

- $\forall$  finite dim HWR with highest weight  $\lambda$   
→  $\hat{g}_{-h^\vee}$ -module  $M_\lambda$

- $M_\lambda$  Characters are known (Arakawa)

$$\text{ch}_\lambda = \frac{\sum_w e^{w \cdot \lambda}}{\prod_{\hat{\alpha} \in \hat{\Delta}_+^{\text{re}}} (1 - e^{-\hat{\alpha}}) \prod_{\alpha \in \Delta_+} (1 - q^{(\lambda + \rho, \alpha^\vee)})}$$

## Deligne - Cvitanovic series of AKM algebra

.	su(1)	su(2)	su(3)	$\mathfrak{g}_2$	$d_4$	$f_4$	$e_6$	$e_7$	$e_8$
k	$-\frac{6}{5}$	$-\frac{4}{3}$	$-\frac{3}{2}$	$-\frac{5}{3}$	-2	$-\frac{5}{2}$	-3	-4	-6
c	$-\frac{22}{5}$	-6	-8	-10	-14	-20	-26	-38	-62
	Lee-Yang	admm	admm		Lagrangian				

$$k = -\frac{h^\vee}{6} - 1$$

- Unrefined vac. characters are known in closed form (Arakawa)

$$(D_9^{(2)} - 5(h^\vee + 1)(h^\vee - 1) E_4) ch_o = 0$$

$$\Rightarrow h_1 = -\frac{h^\vee}{6} \quad (= \text{int when } \mathfrak{g} = d_4, e_6, e_7, e_8)$$

## Characters

- $su(2)_{k \in \mathbb{N}}$  integrable : Di Francesco chap. 14
- $su(2)_{k = \frac{t}{u}}$  admissible : Di Francesco chap. 18.
- $SU(N)_{k \geq 1}$  integrable : Di Francesco
- $ADE_{k=1}$  vacuum module
- $\hat{so}(2r)_{k=1}$  integrable (4 ↑)
- $\hat{so}(2r+1)_{k=1}$  integrable (3 ↑) } Di Francesco chap. 15
- $\hat{su}(N)_{k=-1}$  many modules : Adamovic and Miles
- $\hat{so}(8)_{-2}$  vacuum module, and other from Category  $\mathcal{O}$
- $\hat{su}(N)_{k=-N}$  : Arakawa.

## Free field realization

$\widehat{so}(N)_{k=x_1}$	$N$ independent real fermions	$J^a = \frac{1}{2} \psi_i t_{ij}^a \psi_j$ <small>imap <math>R_{\lambda}</math></small>
$\widehat{u}(N)_{k=1}$	$N$ independent cpx fermions	$J^a = \sum \psi_i^\dagger t_{ij}^a \psi_j$ $J^0 = \sum_i \psi_i^\dagger \psi_i$
$\widehat{su}(2)_{k=1}$	1 X	$H \sim i\partial X$ $E^\pm \sim e^{\pm\sqrt{2}iX}$
$\widehat{ade}_{k=1}$	$X_{I=1, \dots, N}$	$H^I \sim i\partial X_I$ $E^\alpha \sim e^{\pm i\alpha^I H^I}$
$su(2)_{\forall k}$ Wakimoto	$X, \beta\gamma$	$e \sim \beta$ $\vdots$
$\widehat{g}_{\forall k}$ Wakimoto generalized	$ \Delta_+  \uparrow X$ rank 组 $\beta\gamma$	

- Recent: Adamovic / Bonetti - Meneghelli - Rastelli  
For 2d  $\mathcal{N}=4$  small SCFA and  $W_G$

in terms of rank  $\uparrow$   $\beta\gamma$

$\Rightarrow$  closed form characters for a lot of VOAs!

Beem - Meneghelli - Rastelli

DC series in terms of  $\beta\gamma$  systems and  $X, Y$



## SUSY

- Extension of isometry (Poincaré on flat spaces)  
by fermionic transformations ( $\epsilon$  is Grassmann, spinor)

$$\delta\phi = \epsilon\psi \quad \text{or} \quad \delta A_\mu = (\epsilon\gamma_\mu\psi)$$

$$\delta\psi = \epsilon\phi + \frac{1}{2}F_{\mu\nu}\sigma^{\mu\nu}\epsilon + \dots$$

- $P_\mu, M_{\mu\nu}, Q_I, R_{I^J} \supset$  SUSY on curved space.
- **Superconformal algebra**: extension of conformal algebra.

$$P_\mu, M_{\mu\nu}, D, K_\mu, R_{I^J}, Q_I, S^I,$$

## 4d $\mathcal{N}=2$

- Lagrangian theories building blocks: VM HM

G-VM:  $A, \phi, \tilde{\phi}, \lambda_I, \tilde{\lambda}_I, D_{IJ}$  (in G-adj.)  
(G-gauge field 升级版)

HM:  $q_{IA}, \psi_A, \tilde{\psi}_A, F_{IA}$  (in  $\mathcal{R}$ )  $I=1,2$   $A=1,2$   
(matter field 升级版)

- SUSY param  $\epsilon_I, \tilde{\epsilon}_I$

$$\delta A_\mu = (\epsilon^I \sigma_\mu \lambda_I) + (\tilde{\epsilon}^I \sigma_\mu \tilde{\lambda}_I), \quad \delta \phi = \dots, \quad \dots$$

$$\delta q_{IA} = (\epsilon_I \psi_A) + (\tilde{\epsilon}_I \tilde{\psi}_A), \quad \delta \psi_A = \dots, \quad \dots$$

- $$\mathcal{L} = \frac{1}{2g_{YM}^2} \left( \text{tr} F_{\mu\nu} F^{\mu\nu} - 4 D_\mu \phi D^\mu \tilde{\phi} + \dots \right) + \theta\text{-term}$$
$$+ \frac{1}{2} D_\mu q^{IA} D^\mu q_{IA} + \dots + \text{Yukawa 耦合}$$
$$+ \text{scalar potential} + \dots$$

[Hosomichi 1206.6359]

- P.F.  $Z = \int \mathcal{D}\Phi e^{-S}$

- 例:

$G = SU(N)$   $N_f = 2N$  HM in fund = SQCD



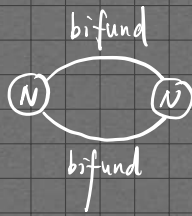
$G = SU(N)$  1 HM in adj. =  $N = 2^*$   $\xrightarrow{\text{massless}}$   $N = 4$



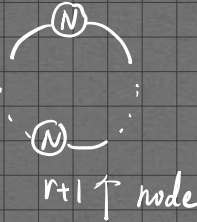
$G = SU(N) \times SU(N)$   $\begin{array}{c} \text{bifund} \\ \downarrow \end{array}$   $\boxed{N} - \textcircled{N} - \textcircled{N} - \boxed{N}$  2 node linear quiver.

$\boxed{N} - \textcircled{N} - \dots - \textcircled{N} - \boxed{N}$  r node linear quiver  
 $A_r$  quiver

$$G = SU(2) \times SU(N)$$

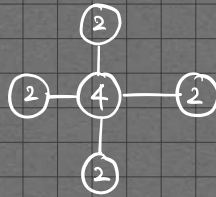


affine  $\hat{A}_1$  quiver  
with gauge group  $SU(N)$

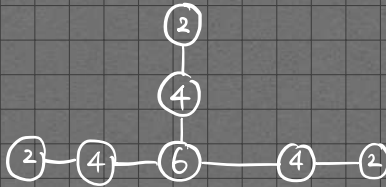


affine  $\hat{A}_r$  - quiver  
with gauge group  $SU(N)$

Affine quivers



$\hat{D}_4 [SU(4)]$



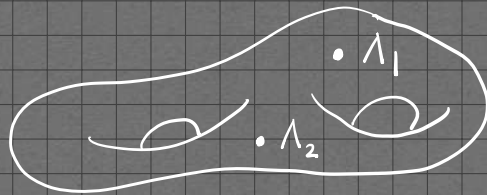
$\hat{E}_6 [SU(6)]$  quiver

- $N_f$  HM in  $\begin{cases} \text{cpx} & SU(N_f) \times U(1) \\ \text{real} & USp(2N_f) = Sp(N_f) \\ \text{pseudo real} & SO(2N_f) \end{cases}$

- $SU(2) - 4$  flavors in  $\underline{2}$ :  $\underline{2}$  is pseudo real,  $f = SO(8)$
- $SU(3) - 6$  flavors in  $\underline{3}$ :  $\underline{3}$  is cpx,  $f = U(6)$
- $SU(2) - 1$  flavor in adj: adj is real  $f: USp(2) = Sp(1)$   
 $\parallel$   
 $SU(2)$
- $SU(N > 2) - 1 \uparrow$  bifund: bifund is cpx  $f = U(1)$
- $SU(2) - 1 \uparrow$  bifund: pseudo real  $f = SU(2)$

## class S

- Twisted compactification from 6d  $(0,2)$  down to 4d
- Are 4d  $\mathcal{N}=2$  SCFTs
- Ingredients  $\{ \mathfrak{g} \in \text{ADE}, \Sigma_{g,n}, \Lambda_1, \dots, \Lambda_n \mid \Lambda_i: \mathfrak{su}(2) \rightarrow \mathfrak{g} \}$ ,  
李代数同态单射.



- Puncture flavor  $f_i = [f_i, \Lambda_i(\mathfrak{su}(2))] = 0$

Manifest flavor  $\oplus f_i$

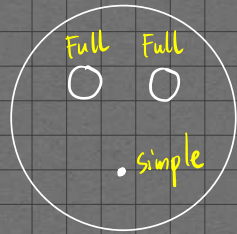
- For  $\mathfrak{g} = A_{N-1} = \mathfrak{su}(N)$ ,

$\forall \Lambda: \mathfrak{su}(2) \rightarrow \mathfrak{g}$  可等价地看成  $N$  的配分

$$[n_1^{l_1} n_2^{l_2} \dots] \text{ s.t. } \sum_i l_i n_i = N, \quad n_i > n_{i+1}$$

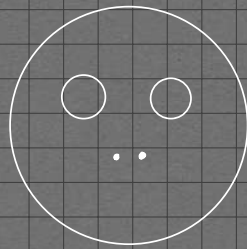
- full / maximal =  $I_m \Lambda = 0$  (trivial)  $\Leftrightarrow [1^N] \Leftrightarrow f = \mathfrak{g}$
- no puncture = principal embedding  $\Leftrightarrow [N] \Leftrightarrow f = f_0$
- minimal / simple = subregular embedding  $\Leftrightarrow [N-1, 1] \Leftrightarrow f = u(1)$

- 通常是 strongly coupled. contains op with fractional dimensional
- some have Lagrangian descriptions.
- $(A_{N-1}, \Sigma_{0,3}; \text{full, full, simple}) = N^2 \text{ free HM}$



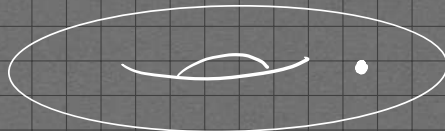
$$SU(N)^2 \times U(1) \subset USp(2N^2)$$

- $(A_{N-1}, \Sigma_{0,3}; \text{full, full, simple, simple}) = SU(N) \text{ SQCD } (2N \text{ HM in fund})$



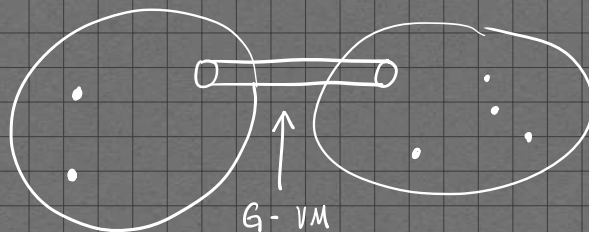
$$SU(N)^2 \times U(1)^2 \subset U(2N)$$



- $(A_{N-1}, \Sigma_{1,1}; \text{simple}) = SU(N) \mathcal{N}=4 \text{ theory} + 1 \text{ free HM}$

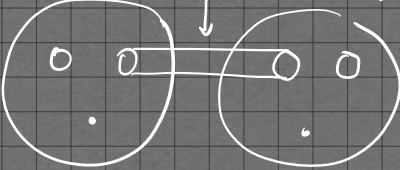


$$\begin{array}{ccc} \curvearrowright & & \curvearrowright \\ & SU(2) & \end{array}$$

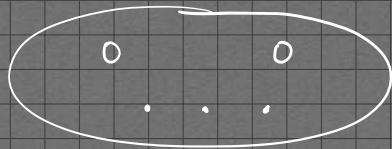
- gauging 2  $G$ -symm: glue two maximal punctures.

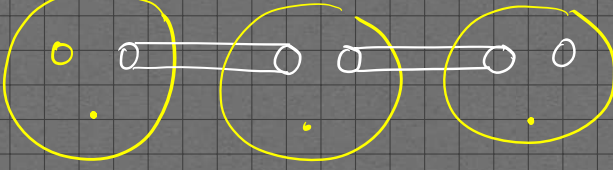


• SQCD =  = 


=  = gauging two  $N^2$  free HM.

$N^2$  free HM       $N^2$  free HM



=  pants decomposition (Not unique)

$N^2$  free HM       $N^2$  free HM       $N^2$  free HM

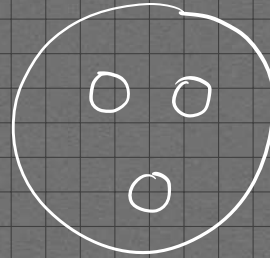
=  (related by generalized S-duality)

2-node linear quiver  
( $A_2$ -quiver)



•  $T_{N \geq 3}$  theories (3 maximal;  $A_{N-1}$ )

non-Lagrangian, strongly coupled.



## S-duality

•  $\mathcal{M}_{g,n}$ : *The Teichmüller space.* moduli space of cpx str. of Riemann surface  $\Sigma_{g,n}$

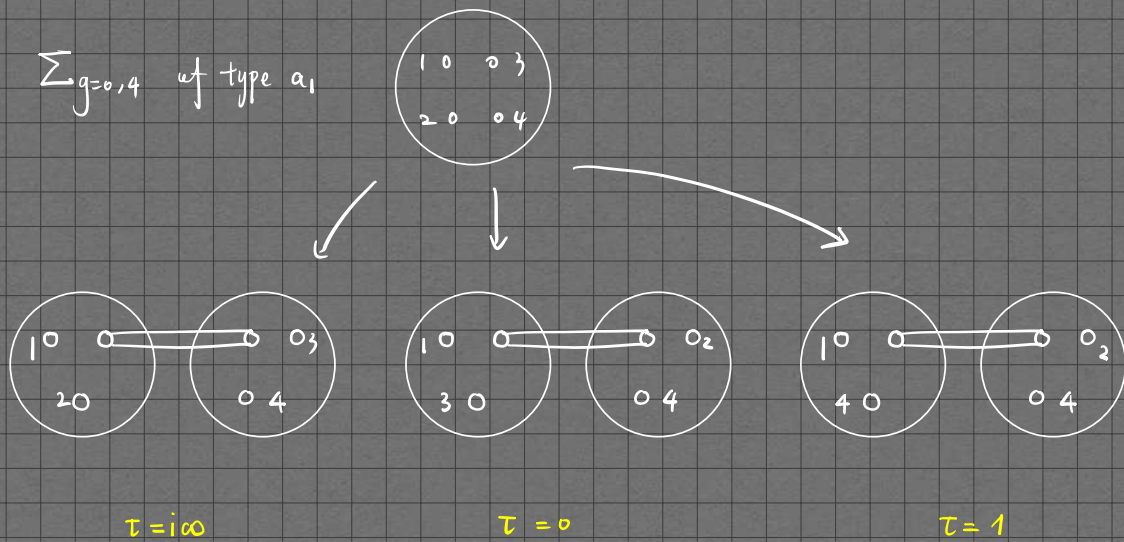
•  $\partial\mathcal{M}_{g,n} \neq \emptyset$  当 cpx str. 取  $\partial\mathcal{M}_{g,n}$  时.  $\Sigma_{g,n}$  形成

*Pants decompositions*

• plumbing param of each cylinder  $s \sim e^{2\pi i \left( \frac{\theta}{2\pi} + \frac{4\pi i}{g_{im}^2} \right)} + \dots$

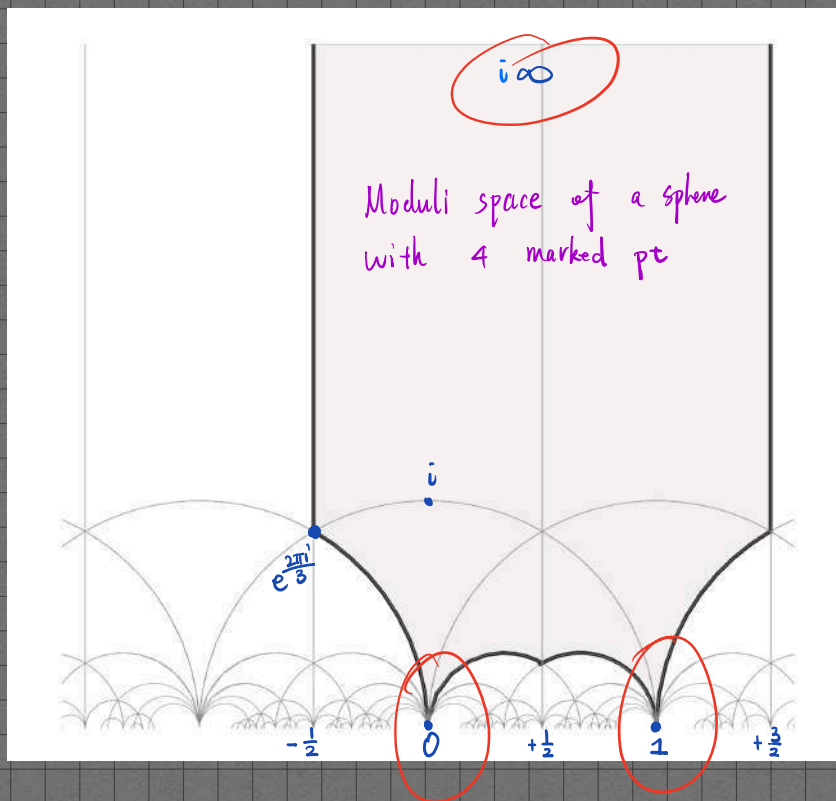
*The form local coordinates on  $\mathcal{M}_{g,n}$*

• Different pants decomposition / weak coupling limit.



3 S-duality frames / weak coupling limit.

- $\dim_{\mathbb{C}} \mathcal{M}_{g=0,4} = 1$  : 参数为  $\tau$ .



## Localization

•  $Z^M \equiv \int \mathcal{D}\Phi e^{-S_E[\Phi]}$  for a Lagrangian theory on  $M$ .

• When SUSY: assume  $D\Phi$  is SUSY,  $\delta D\Phi = 0$

Choose gauge inv. fermionic  $V$ , s.t.  $\delta^2 V = 0$ ,  $\delta V|_B \geq 0$

$$\int \mathcal{D}\Phi e^{-S - t\delta V} \Rightarrow \frac{d}{dt} \int \mathcal{D}\Phi e^{-S - t\delta V} = 0$$



$$Z^M = \int_{\text{BPS}} e^{-S_{\text{BPS}}} \cdot \underbrace{\text{quadratic fluctuations}}_{Z_{\text{pert}}} \cdot Z_{\text{inst/vortex}}$$

$(\delta V)|_B = 0$  的 field config

•  $M$  常见  $S^n$ ,  $S^n \times S^1$ ,  $\Sigma_{g,n} \times S^1$ ,  $\Sigma_{g,n}$

• 还可插入 BPS / almost BPS ops.

$$\langle \mathcal{O}(z_1, \dots) \rangle = \int \mathcal{D}\Phi \mathcal{O}(z_1, \dots) e^{-S}$$

if  $\frac{d}{dt} \int \mathcal{D}\Phi \mathcal{O}(z_1, \dots) e^{-S - t\delta V} = 0$ , 则可用 localization

①  $\delta \mathcal{O} = 0$  BPS

②  $\delta \mathcal{O} = \text{auxiliary fields}$  almost BPS.

## $S^3 \times S^1$ localization

$$\bullet \quad g = \cos^2 \theta \left( d\varphi - \frac{i}{4\pi} (\beta_1 + \beta_2) dt \right)^2 + \sin^2 \theta \left( d\chi - \frac{i}{4\pi} (\beta_1 - \beta_2) dt \right)^2 + d\theta^2 + \left( -i\tau + \frac{l}{4\pi} (\beta_1 + \beta_2) \right)^2 dt^2$$

$$\bullet \quad A^{SU(2)_R} = -\frac{1}{2} \left( \tau + \frac{i}{2\pi} (\beta_1 + \beta_2) \right) \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}_I^J \quad \dots$$

$$\bullet \quad D_\mu \xi_I = -i \sigma_\mu \tilde{\xi}'_I \quad D_\mu \tilde{\xi}_I = -i \tilde{\sigma}_\mu \xi'_I$$

$$D_\mu \xi_I = \partial_\mu \xi_I + \frac{1}{4} \omega_\mu{}^{ab} \sigma_{ab} \xi_I - i (A_\mu^{SU(2)_R})_I^J \xi_J + i A_\mu^{U(1)_r} \xi_I$$

$$\sigma^\mu \tilde{\sigma}^\nu D_\mu D_\nu \xi_I = M \xi_I \quad \tilde{\sigma}^\mu \sigma^\nu D_\mu D_\nu \tilde{\xi}_I = M \tilde{\xi}_I$$

$\Rightarrow$  4 solutions ( $c_i, \tilde{c}_i$  为任意常数)

$$\xi_1 = c_1 \kappa_{+-} \quad \xi_2 = c_2 \kappa_{++} \quad \tilde{\xi}_1 = \tilde{c}_2 \kappa_{--} \quad \tilde{\xi}_2 = \tilde{c}_1 \kappa_{-+}$$

• 定下  $\delta$  Fields

$$\bullet \quad \text{定下 } \delta V = S_{YM} = \frac{1}{8\pi^2} \int_{S^3 \times S^1} dt \epsilon \sqrt{g} \mathcal{L}_{YM} + \frac{i\theta}{8\pi^2} \int_{S^3 \times S^1} \text{tr} F \wedge F.$$

$\delta$ -exact

$$\mathcal{L}_{YM}|_B = \text{tr} F_{\mu\nu} F^{\mu\nu} + \overline{D_\mu \phi} D_\mu \phi + \dots \geq 0$$

$$\delta \mathcal{L}_{YM} = 0$$

$$\begin{aligned} \bullet \quad Z &= \int D\bar{\Phi} e^{-S_{YM} - S_{HM}} \\ &= \int D\bar{\Phi} e^{-S_{YM} - S_{HM} - \underbrace{(s-1) S_{YM}}_{\delta\text{-exact}}} \end{aligned}$$

$$\bullet \quad \text{BPS: } \underbrace{F_{\mu\nu} = 0}_{\text{flat connection}} = \phi = \tilde{\phi} = D_{\pm j},$$

$$\Rightarrow A = a dt, \quad a \in \mathfrak{h}$$

$$q_{\pm A} \text{ 与 } \mathcal{X} \text{ 无关. } \underbrace{D_{t, \varphi, \theta} q = 0}_{\text{elliptic op.}} \text{ on } S_t^1 \times S_{\varphi, \theta}^3 / U(1)_{\mathcal{X}} = S_t^1 \times D_{\varphi, \theta}^2$$

$\Rightarrow$  solutions determined by boundary values  $Q, \tilde{Q}$  on  $T_{t, \varphi}^2$

$$\begin{aligned} \bullet \quad S|_{\text{BPS}} &= \int d\varphi dt (Q D_{\bar{z}} \tilde{Q} - \tilde{Q} D_z Q) \sim \text{symplectic boson.} \\ &= S_{\text{SB}}[Q, \tilde{Q}; a] \quad \beta \mathcal{X} \text{ at } \hbar = \frac{1}{2} \end{aligned}$$

$$\begin{aligned} \bullet \quad Z &= \int da \underbrace{DQ D\tilde{Q}}_{Z_{\text{1-loop}}} e^{-S_{\text{SB}}[Q, \tilde{Q}; a]} \\ &= \int Db Dc e^{-S_{bc}[b, c; a]} \\ &= \int da DQ D\tilde{Q} D b D c e^{-S_{\text{SB}}[Q, \tilde{Q}; a] - S_{bc}[b, c; a]} \end{aligned}$$

- Further insertions of special ops.

$$\langle \mathcal{O}_{bc\beta\gamma}(z_1, \dots) \rangle_{S^3 \times S^1} = \int da \underbrace{\langle \mathcal{O}_{bc\beta\gamma}(z_1, \dots) \rangle_{T^2_{+,\varphi}}^{bc\beta\gamma.}}_{\text{2d VOA correlators on } T^2.}$$

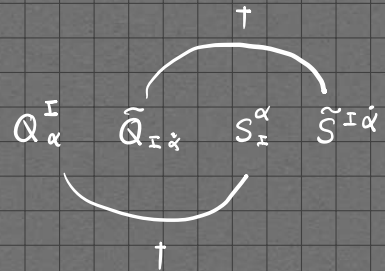
- 4d  $\mathcal{N}=2$  SCFT / 2d VOA

## 4d/2d correspondence

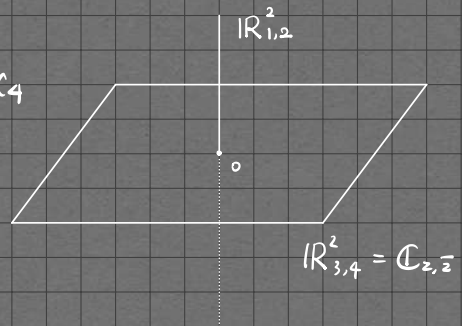
- 考虑  $V$  4d  $\mathcal{N}=2$  SCFT.

- SCFA  $D, P_\mu, M_{\alpha\beta}, M_{\dot{\alpha}\dot{\beta}}, K_\mu, R^I{}_J$

quantum #:  $E, j_1, j_2, R, r$



- Pick  $\mathbb{R}_{3,4}^2 \subset \mathbb{R}^4$ .  $z = x_3 + i x_4$



- Special sub algebra.

$$\{L_{\pm, 0}\}$$

$$\{\hat{L}_{\pm 1, 0}, Q_{\pm}^I, \bar{Q}_{\pm}^I, S_{\pm}^I, \tilde{S}_{\pm}^I, \}$$

- Special supercharges  $\mathbb{Q}_1 \equiv Q_{\pm}^I + \tilde{Q}_{\pm}^{I\dagger}$ ,  $\mathbb{Q}_2 \equiv Q_{\pm}^{I\dagger} - \tilde{Q}_{\pm}^I$   
nilpotent

- $\mathbb{Q}_{1,2}$  - closed translation  $L_{-1}$

$$\mathbb{Q}_{1,2} - \text{exact translation } \hat{L}_{-1}$$



- Spectral ops at origin = simultaneous cohomology of  $\mathbb{H}_{1,2}$

Harmonic reps:  $E - 2R - \hat{j}_1 - \hat{j}_2 = 0$        $r - \hat{j}_2 + \hat{j}_1 = 0$

- twisted translation on  $\mathbb{C}_{z, \bar{z}}$   $e^{-\bar{z} \hat{L}_1} e^{-z L_1}$  to obtain  $\mathcal{U}(z, \bar{z})$

$[\mathcal{U}](z)$  与  $\bar{z}$  无关

- $[\mathcal{U}_1](z) [\mathcal{U}_2](w) \sim \sum_h \underbrace{\frac{1}{(z-w)^{h_1+h_2-h}}}_{\text{全纯}} [\mathcal{U}_h](w)$

- $[\mathcal{U}](z)$  形成 Associated VOA.

- Associated VOA.
- $SU(2)_R$  symm current  $J_{I=1, J=1}^\mu \rightarrow$  stress tensor  $T$  in VOA  
 $\rightarrow$  Virasoro subalgebra with  $c_{2d} = -12 c_{4d}$

flavor symm  $G$  moment map op  $M_{I=1, J=1} \rightarrow$  affine current  $j_z$   
 $\rightarrow$  affine subalgebra  $\hat{\mathfrak{g}}_{k_{2d}}$ ,  $k_{2d} = -\frac{1}{2} k_{4d}$ .

$\tilde{Q}_1$ : neutral under all these

- 4d SCFI:  $\mathcal{I}(p, q, t) \equiv \text{str} e^{-\beta \tilde{\delta}_1} p^{\frac{\delta_{1+}}{2}} q^{\frac{E-2j_z-2R-r}{2}} t^{R+r} b^f$

$$\downarrow t \rightarrow q \text{ (Schur limit)}$$

$$\text{str} e^{-\beta \tilde{\delta}_1} p^{\delta_{1+}} q^{\frac{E-2j_z+r}{2}} b^f$$

$\tilde{Q}_1, Q_{1+}$  neutral under  $E-2j_z+r$

$\Rightarrow$  independence of  $p \Rightarrow$  set  $p=0$

contrib. from Schur ops

$$\begin{aligned} \mathcal{I}(q) &= \text{str}_{\text{Schur}} q^{\frac{1}{2}(E-2j_z+r)} b^f \\ &= \text{str}_{\text{Schur}} q^{E-R} b^f \\ &= \text{str}_V q^{L_0} b^f \end{aligned}$$

- Schur index

$$\mathcal{I}(q) \equiv q^{\frac{1}{2}c_{4d}} \text{str}_{\text{Schur}} q^{E-R} b^f = \text{str}_V q^{L_0 - \frac{c_{2d}}{24}} b^f = \text{ch}_V$$



## Free theory VOA

$$\cdot \quad g_{\pm A} = \begin{pmatrix} g_{11} & g_{21} \\ g_{12} & g_{22} \end{pmatrix}$$

$$\cdot \quad Q = g_{11} + \bar{z} g_{21} \quad \tilde{Q} = g_{12} + \bar{z} g_{22}$$

$$Q(z) \tilde{Q}(w) \sim \frac{1}{z-w} \quad \tilde{Q}(z) Q(w) \sim \frac{-1}{z-w}$$

$$\Rightarrow \beta\gamma \text{ system, } T = \frac{1}{2} (\beta \partial \gamma - \partial \beta \gamma), \quad h_\beta = h_\gamma = \frac{1}{2}$$

$$\cdot \quad \lambda_I \quad \tilde{\lambda}_I \quad \rightarrow \quad \lambda_z = \lambda_1 + \bar{z} \lambda_2 \sim \partial c$$

$$\tilde{\lambda}_z = \tilde{\lambda}_1 + \bar{z} \tilde{\lambda}_2 \sim b$$

$$\lambda_z(z) \tilde{\lambda}(w) \sim \partial_z \frac{1}{z-w}, \quad T = b \partial c, \quad h_b = 1, \quad h_c = 0$$

free VM  $\Rightarrow$  bc ghost.

• build complex Lagrangian theories by gauging

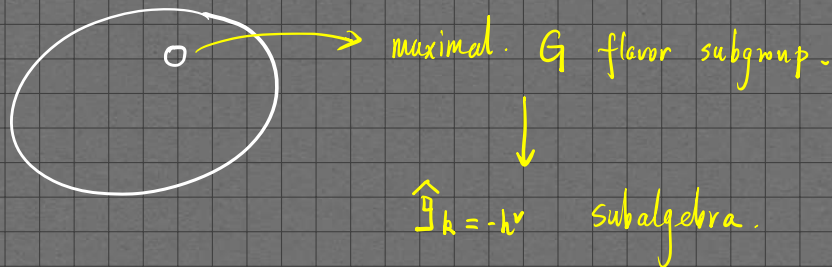


build complex VOA by BRST reduction of the product of free VOAs.  $VOA_1 \otimes VOA_2$

$$Q_B = \oint c \left( \underset{\substack{\uparrow \\ \text{total flavor current to-be-gauged}}}{j_{\text{tot}}} + \frac{1}{2} \underbrace{j_{gh}}_{bc} \right)$$

## class S VOA

•  $\mathfrak{g} \in \mathcal{A}$



• building blocks :  $T_{N \geq 3} \longrightarrow \text{VOA}[T_N]$  is conjectured for  $A_{N-1}$

$$c_{2d} = -2N^3 + 3N^2 + N - 2$$

$$\hat{\mathfrak{su}}(N)_{-N} \times \hat{\mathfrak{su}}(N)_{-N} \times \hat{\mathfrak{su}}(N)_{-N} \subset \text{VOA}[T_N]$$

额外  $W_{(l=2,3,\dots,N)}$  transforming in  $\Lambda^l \otimes \Lambda^l \otimes \Lambda^l$  under  $\mathfrak{su}(N)^3$

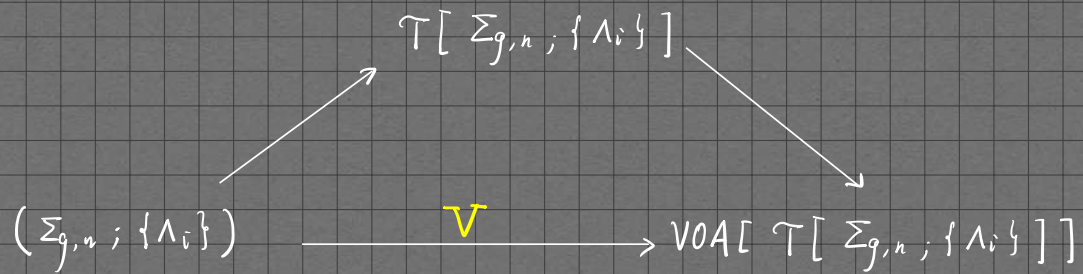
额外  $T$  (stress tensor)

•  $\text{VOA}[\text{class } S]$  from BRST reduction (gauging)

and "quantum Drinfeld-Sokolov reduction".

(reduce puncture)

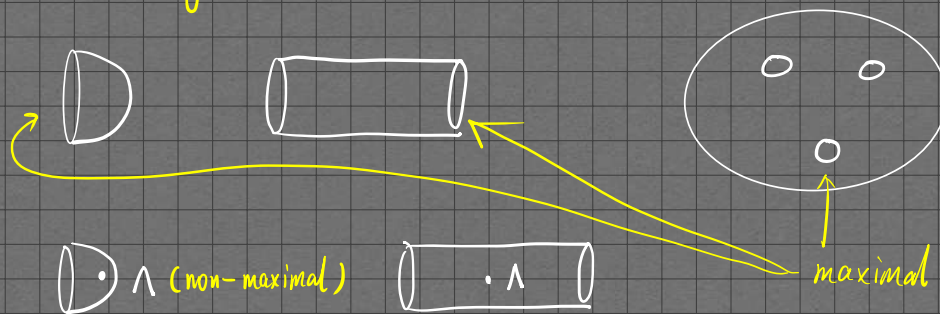
• Over all:



Depend on the topology  $(\Sigma_{g,n}; \{\Lambda_i\})$  only (independent of gauge coupling)

$\Rightarrow \mathcal{V}$  is a 2d TQFT valued in VOA

① basic building blocks (decorated Riemann surface)



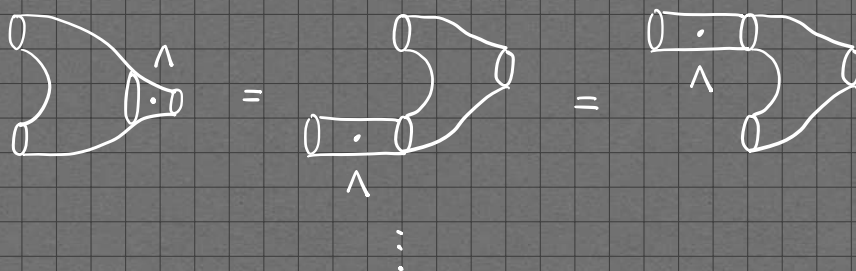
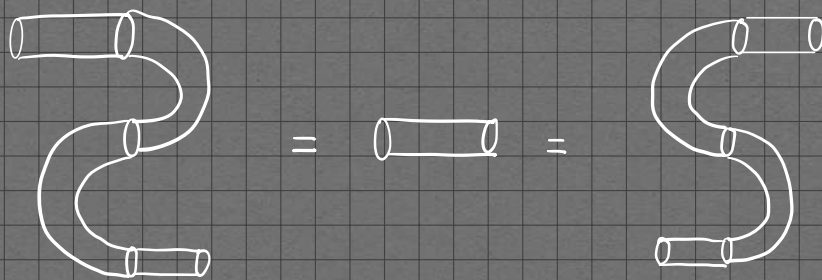
② each boundary / maximal puncture  $\sim \hat{\mathfrak{g}}_{-h\nu}$

③ gluing along maximal punctures:

a)  $\exists \lambda (b, c)$  in the  $\text{diag}(G \times G)$

b) BRST reduction

④ S-duality  $\Leftrightarrow$  associativity



## Schur indices

- $\mathcal{I}_{HM} = \frac{\eta(\tau)}{\vartheta_4(\hat{b}|\tau)}$        $b = e^{2\pi i \hat{b}}$

- $\mathcal{I}_{SU(2)_{N=4}} = \frac{1}{2} \oint_{|a|=1} \frac{da}{2\pi i a} \frac{\vartheta_1(2\hat{a})}{\eta(\tau)} \frac{\vartheta_1(-2\hat{a})}{\eta(\tau)} \eta(\tau)^2 \frac{\eta(\tau)^3}{\vartheta_4(2\hat{a}+\hat{b}) \vartheta_4(-2\hat{a}+\hat{b}) \vartheta_4(\hat{b})}$

$\begin{matrix} \alpha & & -\alpha & & 0 \\ \uparrow & & \uparrow & & \uparrow \end{matrix}$

3 bc ghost
3 HM changed

charged under  $su(2)_{gauge}$ 
under  $su(2)_{gauge}$  and  $su(2)_f$

adj.
adj.      +1

- $\mathcal{I} = \frac{1}{|W|} \oint \left[ \frac{da}{2\pi i a} \right] \eta(\tau)^{2r} \prod_{\alpha} \frac{\vartheta_1(\alpha(\hat{a}))}{\eta(\tau)} \prod_{\mathcal{R}} \prod_{\rho \in \mathcal{R}} \frac{\eta(\tau)}{\vartheta_4(\rho(\hat{a}))}$

for gauge theory.

- can be computed exactly in terms of Eisenstein series.



## Schur indices from $q$ -YM<sub>2</sub> (baby AGT)

• 1104.3850, 1408.6522

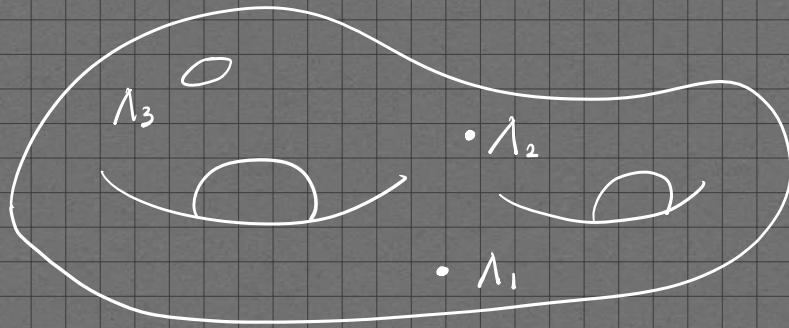
$$\begin{aligned} \cdot (\Sigma_{g,n}; \{\lambda_i\}) &\longrightarrow \mathbb{T}[\Sigma_{g,n}; \{\lambda_i\}] \\ Z &= \mathbb{I} \end{aligned}$$

• 2d G-YM:  $S = \frac{1}{g_{YM}^2} \int \text{tr} F \wedge F$

$q \rightarrow 1$   $\uparrow \downarrow$  "q-deformation" (at the level of amplitude)  
2d q-deformed G-YM

$$\begin{aligned} \cdot A_{g,n} &= q^{-\frac{C_{2d}}{24}} \sum_{\mathcal{R}} C_{\mathcal{R}}(q)^{2g-2+n} \prod_i \psi_{\mathcal{R}}(x_i, \lambda_i) \\ &= q^{-\frac{C_{2d}}{24}} \langle \prod_i \psi_{\mathcal{R}}(x_i, \lambda_i) \rangle \end{aligned}$$

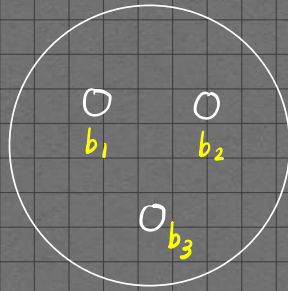
"AGT"  
=  $\mathbb{I}_{\text{Schur}}(\mathbb{T}[g; \Sigma_{g,n}; \{\lambda_i\}])$



- Simplest : 4 free HM

$$\mathfrak{g} = \mathfrak{su}(2), 3 \text{ full}$$

$$SU(2)^3 \subset USp(8)$$



$$\mathfrak{g} = \mathfrak{o}$$

$$n = 3$$

$$\mathcal{I}_{\text{Schur}} = \prod_{\pm} \frac{\eta(\tau)}{\mathcal{V}_4(\hat{b}_1 \pm \hat{b}_2 \pm \hat{b}_3)}$$

$$b_i \equiv e^{2\pi i \hat{b}_i}$$

$$A = \sum_{\mathcal{R}} C_{\mathcal{R}}(q)^{2 \cdot 0 - 2 + 3} \prod_{a=1}^3 \psi_{\mathcal{R}}(b_a; \text{full})$$

$$= \sum_{j \in \frac{1}{2}\mathbb{N}} \frac{(q^2; q)}{\dim_q \mathcal{R}_j} \prod_{a=1}^3 \frac{\chi_{\mathcal{R}_j}(b_a)}{(q; q)(b_a^2 q; q)(b_a^{-2} q; q)}$$

$$\dim_q \mathcal{R}_j \equiv \frac{q^{\frac{1}{2}(2j+1)} - q^{-\frac{1}{2}(2j+1)}}{q^{\frac{1}{2}} - q^{-\frac{1}{2}}} \xrightarrow{q \rightarrow 1} 2j+1 = \dim \mathcal{R}_j$$