

# Introduction to HEE

Song He (何松)

Center for Theoretical Physics, Jilin University

吉林大学理论物理中心

@卡弗里理论科学研究所2021夏季学校

量子引力与黑洞信息

References: RT, HRT, FLM, QES, ...

# Outline

- **Basic introduction to AdS/CFT**
- **HRT**
- **FLM**
- **HRT v.s. Maximin Surface**
- **QES**

# **Maximin Surfaces v.s. Covariant Holographic Entanglement Entropy**

# Introduction

## Static Holographic Entropy

Ryu and Takayanagi

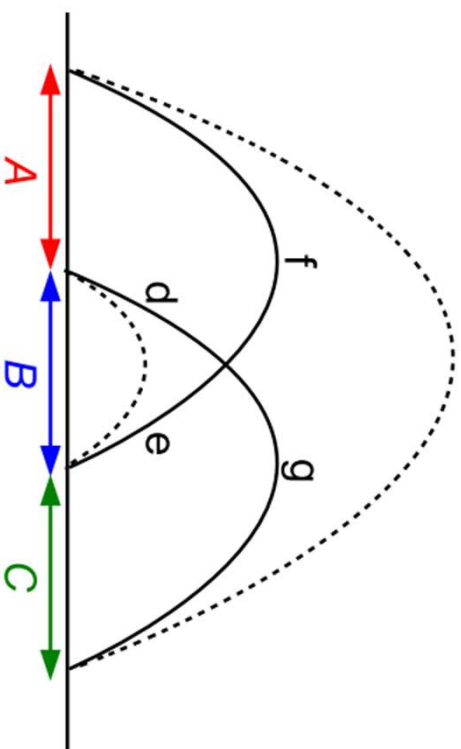
$$S_A = \frac{\text{Area}[\text{min}(A)]}{4\hbar G}.$$

$$S_{AB} + S_{BC} \geq S_{ABC} + S_B.$$

**Applies only to static manifolds**

1.  $\text{min}[ABC]$  and  $\text{min}[B]$  are minimal surfaces
2. Lying on the same time slice as  $\text{min}[AB]$  and  $\text{min}[BC]$ .

Inapplicable on dynamically evolving spacetimes, or to choices of  $A$  which do not correspond to static time slices



$$A[m(B)] \leq d + e$$

$$A[m(ABC)] \leq f + g$$

$$A[m(B)] + A[m(ABC)] \leq d + e + f + g$$

$$= A[m(AB)] + A[m(BC)]$$

# Covariant Holographic Entanglement Entropy

Hubeny, Rangamani, and Takayanagi

Instead of looking for the minimal area surface, look for the extremal area surface  $m(A)$  (if there is more than one, choose the surface with the least area)

**The matter obey the null energy condition**

$$T_{ab} k^a k^b \geq 0$$

**The null curvature condition (NCC)**

$$R_{ab} k^a k^b \geq 0$$

$$\begin{aligned} \text{Area}[m(AB)] + \text{Area}[m(BC)] + \text{Area}[m(AC)] \geq \\ \text{Area}[m(A)] + \text{Area}[m(B)] + \text{Area}[m(C)] + \text{Area}[m(ABC)] \end{aligned}$$

**maximin surface  $M(A)$** : minimizing the area on some achronal slice  $\Sigma$ , and then maximizing the area with respect to varying  $\Sigma$

# Assumptions about Spacetime

The bulk spacetime : classical, smooth, and asymptotically locally AdS

NCC  $R_{ab}k^ak^b \geq 0$  for any null vector  $k^a$

Generic condition : nonvanishing null-curvature  $R_{ab}k^ak^b$

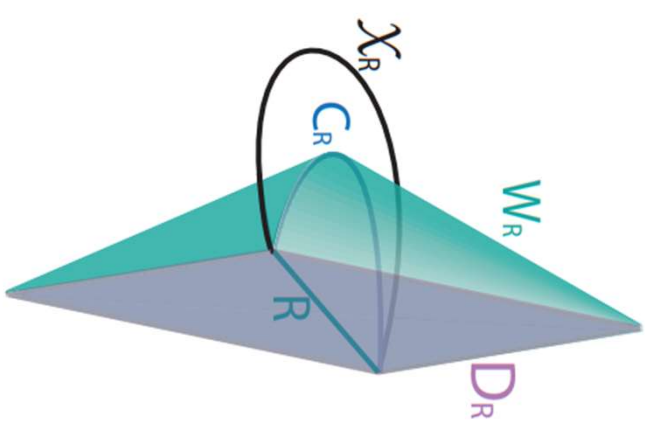
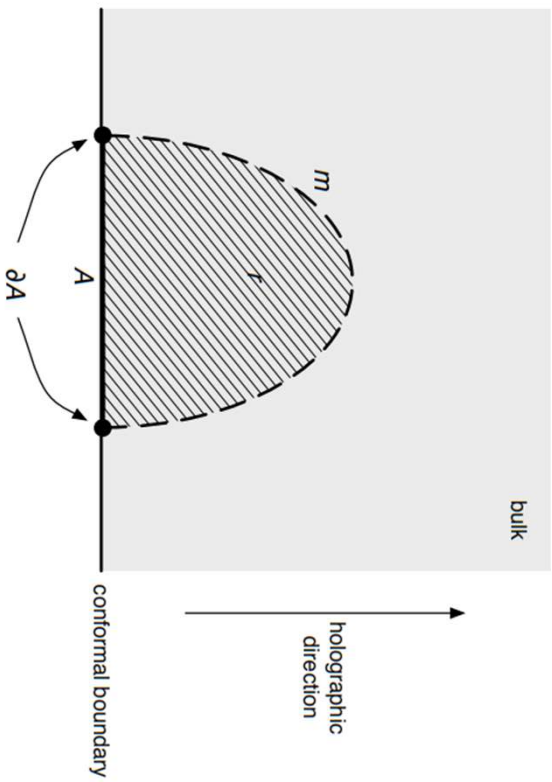
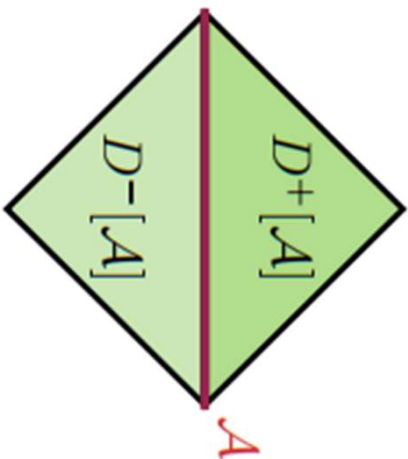
Or shear  $\sigma_{ab}$  along at least one point of any segment of any null ray

The spacetime will also be assumed to be AdS-hyperbolic

1. there are no closed causal curves,
2. for any two points  $x$  and  $y$ ,  $I^+(x) \cap I^-(y)$  is compact after conformally compactifying the AdS boundary

Space at one time is compact, after compactifying the AdS boundary

# Definitions



$$D_A = D^+(A) \cup D^-(A)$$

causal wedge  $\omega_A = I^-(D_A) \cap I^+(D_A)$

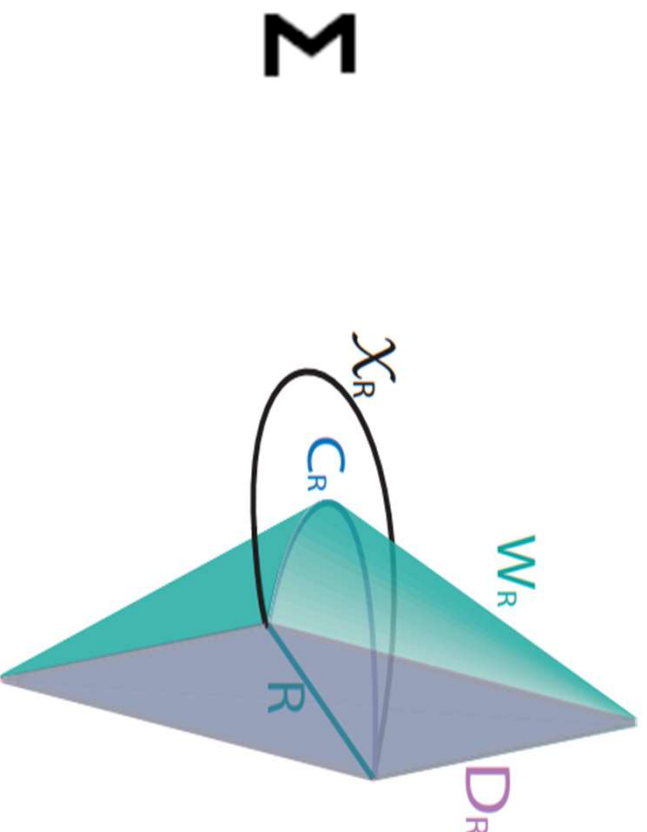
causal surface  $C_A = \partial I^-(D_A) \cap \partial I^+(D_A)$

$r(A)$  :the spacetime region lying spatially in between  $m(A)$  and  $DA$

# Preliminary Definitions and Lemmas

$N(A)$ : Codim 2 extremal surface  $x(A)$  沿着光传播的方向构成的一个曲面 Codim=1

Codim 2 “Representative” on  $\Sigma$  defined as  $\tilde{x}(A, \Sigma) = N(A) \cap \Sigma$ .





**Theorem:**

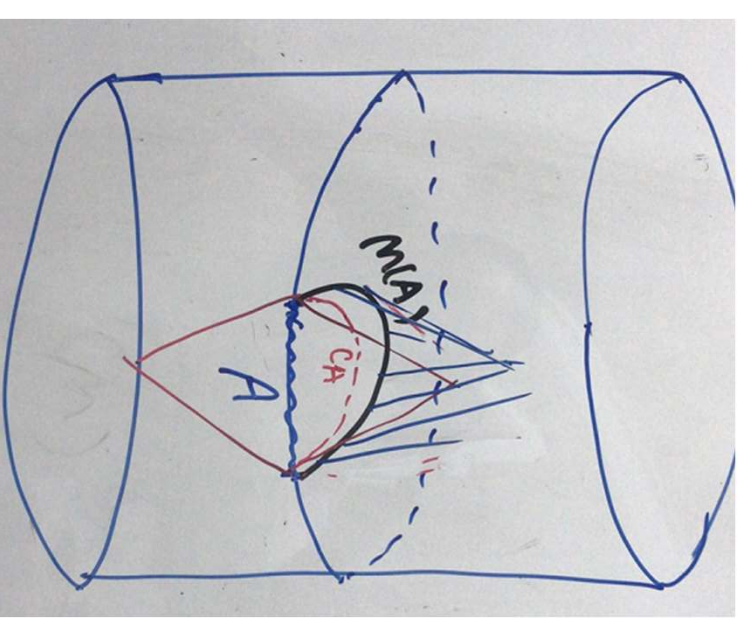
**Representative  $\tilde{x}(A, \Sigma)$  has less area than  $x(A)$  (unless it is  $x(A)$ ).**

**①  $x(A)$  is extremal, the null surfaces  $N(A)$  have expansion  $\theta = 0$  at  $x(A)$**

**② The Raychaudhuri equation**

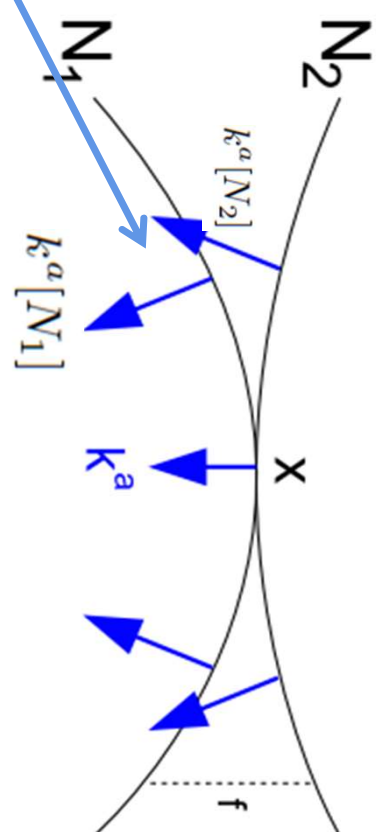
$$\frac{d\theta}{d\lambda} = -\frac{\theta^2}{D-2} - \sigma_{ab}\sigma^{ab} - R_{ab}k^ak^b,$$

**③ So that  $\theta < 0$  everywhere on  $N(A)$**



1.  $N_1$  and  $N_2$  be null congruences
2.  $N_2$  be nowhere to the past of  $N_1$

$$\theta[N_2] > \theta[N_1]$$



Null extrinsic curvature of a null surface

$$B_{ab} = h_a^c h_{bd} \nabla_c k^d,$$

Expansion  $\theta \equiv (\text{Area})^{-1} k^a \nabla_a \text{Area} = B_{ab} h^{ab}.$

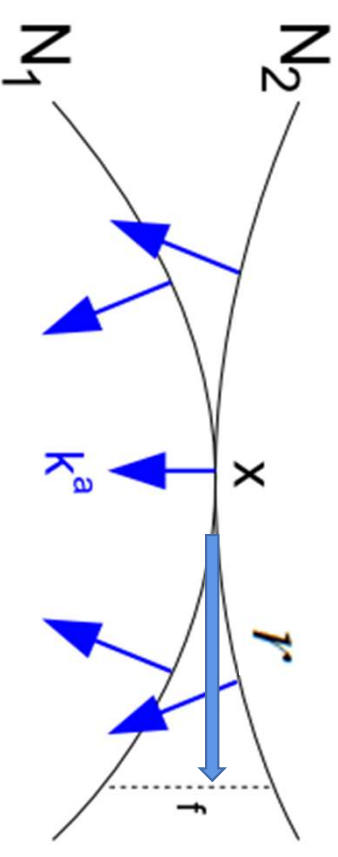
$$\Delta k^a = k^a[N_2] - k^a[N_1] = \nabla^a f + \mathcal{O}((\nabla f)^2).$$

在x处为零,在x邻域内领头阶为零,只有高阶的量

$$\Delta B_{ab} = B_{ab}[N_2] - B_{ab}[N_1] = \nabla_a \nabla_b f.$$

$$\Delta \theta = \theta[N_2] - \theta[N_1] = \nabla^2 f,$$

$$-\nabla^2 G(y) = \delta^{D-2}(y); \quad G|_{r=R} = 0,$$



Sufficiently small  $R$ , metric has is very close to being a flat Euclidean metric

$$G \propto (r^{D-4} - R^{D-4}) / (D-4)$$

$$\text{or } \ln(R/r) \text{ in } D=4$$

$G(y) > 0$  for  $r < R$ , and  $\partial_r G|_{r=R} < 0$ .

$$\int_B G \Delta \theta d^{D-2}y = \int_B G \nabla^2 f d^{D-2}y = - \int_{\partial B} f \partial_r G d\sigma \geq 0.$$

$$\theta[N_2] > \theta[N_1]$$

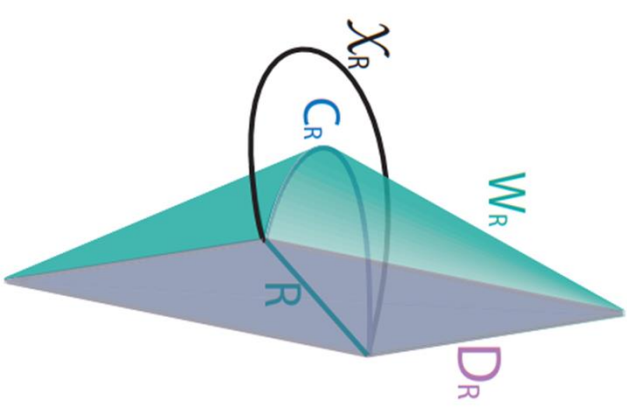
$$\text{tr}(K)[N_2] > \text{tr}(K)[N_1]$$

# Extremal Surfaces lie outside Causal Surfaces

$$\omega(A) = \partial I^-(D_A) \cap \partial^+(D_A)$$

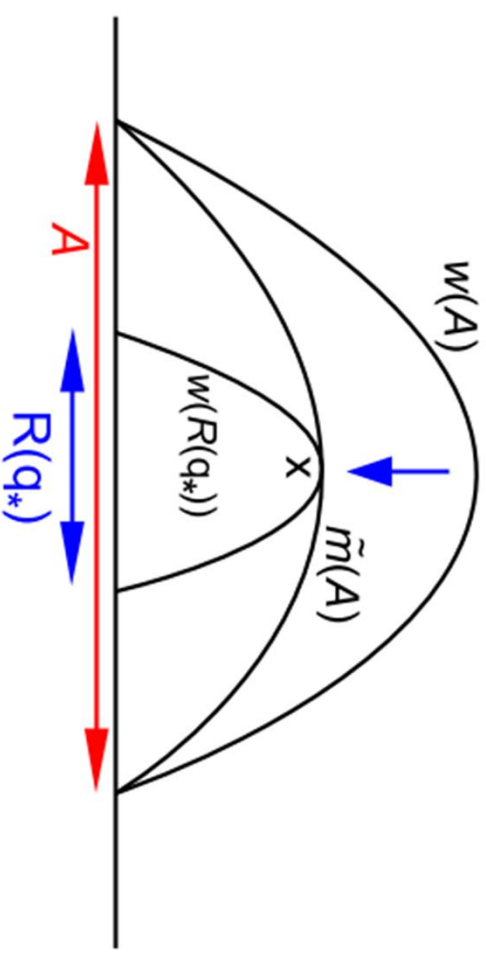
An extremal surface  $x(A)$  lies outside of  $w(A)$ ,  
in a spacelike direction

- ①  $w(R(q_*))$  has  $\theta > 0$  by the Second Law
- $N(A)$  has  $\theta < 0$  by Theorem 3.



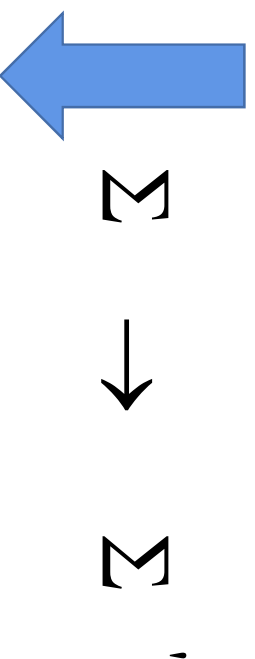
- ②  $N(A)$  is nowhere inside  $w(R(q_*))$

$$\theta[N(A)] \geq \theta[w(R(q_*))]$$

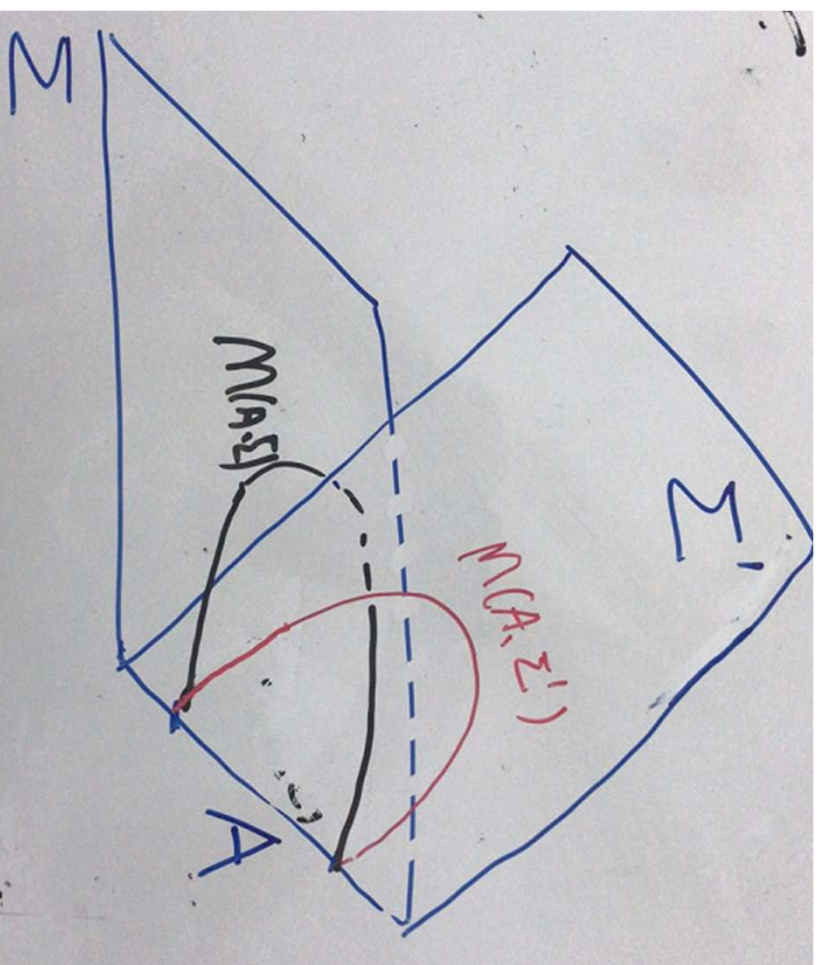


# Maximin Surface $M(A)$

$$\text{Area}[M(A)] \geq \text{Area}[M'(A)]$$



极大值



# Equivalence of Maximin Surfaces and HRT Surfaces

$M(A)$  is everywhere spacelike separated to itself

Neither can two points on  $M(A)$  be connected by a null segment  $n$  which does not lie on  $M(A)$

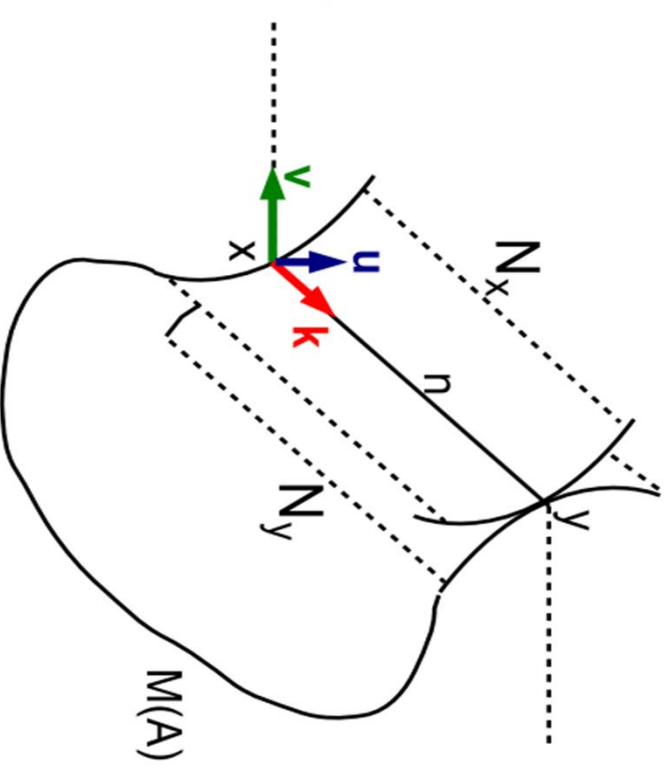
$M(A)$ 是极值面  $\theta[M(A)] = 0$

$x$ 在 $M(A)$ 上  $\theta(N_x) = 0$  at  $x$

沿光线 $n$ 的切矢 $k$ 方向, 膨胀率 $\theta$ 下降, 所以  $\theta(N_x) < 0$  at  $y$

$$\frac{d\theta}{d\lambda} = -\frac{\theta^2}{D-2} - \sigma_{ab}\sigma^{ab} - R_{ab}k^ak^b,$$

同理在 $M(A)$ 上,  $\theta(N_x) = 0$  at  $y$ ,沿光线- $k$ 方向膨胀率上升,  $\theta(N_x) > 0$  at  $x$ , 与 $x$ 在 $M(A)$ 上,  $\theta(N_x) = 0$  at  $x$ 矛盾!





(c) Consider the trace of the extrinsic curvature at  $x$

$$\text{tr}(K)[M(A)] = (\text{Area})^{-1} \nabla \text{Area} \equiv K_i, \quad \theta \equiv (\text{Area})^{-1} k^a \nabla_a \text{Area} = B_{ab} h^{ab}.$$

$$\theta(N_x) = K_i k^i > 0 \quad (\text{见上页PPT } \theta(N_x) > 0 \text{ at } x \text{ 的论述})$$

$M(A)$  is minimal on  $\Sigma$

$v$  是  $\Sigma$  面上的一个切矢,  $M(A)$  在  $\Sigma$  上面积最小, 因此沿  $v$  面积变大, 沿  $v$  膨胀率  $\theta$  大于 0  $\rightarrow \theta = K_i v^i > 0$

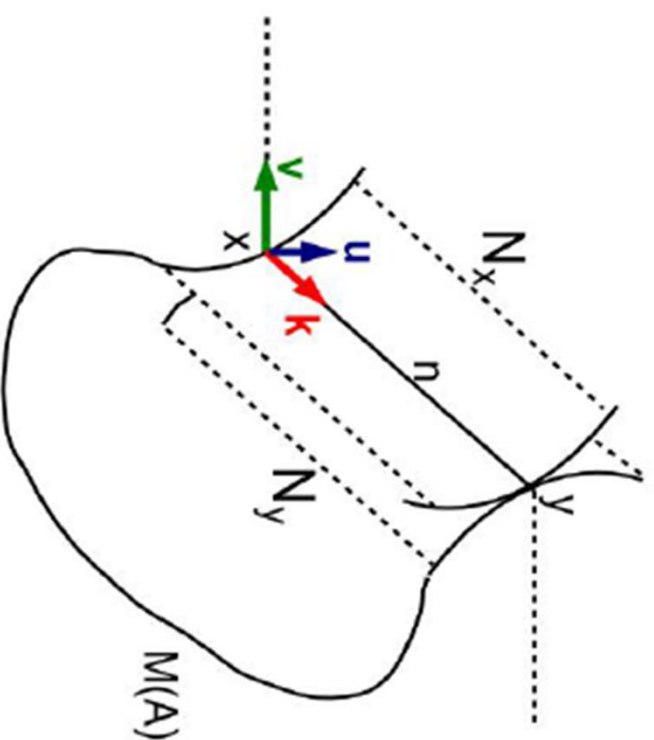
$$(d) \Sigma \rightarrow \Sigma'$$

$\text{Area}[\text{min}(A, \Sigma')] \leq \text{Area}[M(A)].$

$u$  是从  $\Sigma$  指向  $\Sigma'$  的向量, 在所有  $\Sigma$  中,  $M(A)$  的面积最大, 因此沿  $u$  面积变小, 膨胀率  $\theta$  小于 0  $\rightarrow K_i u^i \leq 0$

然而  $u$  可以写成  $k$  和  $v$  的正系数的线性组合  $\rightarrow K_i u^i > 0$

两者矛盾



# 平行于Sigma

Consider the trace of the extrinsic curvature at x

$$\text{tr}(K)[M(A)] = (\text{Area})^{-1} \nabla \text{Area} \equiv K_i, \quad \theta \equiv (\text{Area})^{-1} k^a \nabla_a \text{Area} = B_{ab} h^{ab}.$$

$M(A)$  is minimal on  $\Sigma$

$v$ 是 $\Sigma$ 面上的一个切矢,  $M(A)$ 在 $\Sigma$ 上面积最小, 因此沿 $v$ 面积变大, 沿 $v$ 膨胀率 $\theta$ 大于0  $\rightarrow \theta = K_i v^i > 0$

# 垂直于Sigma

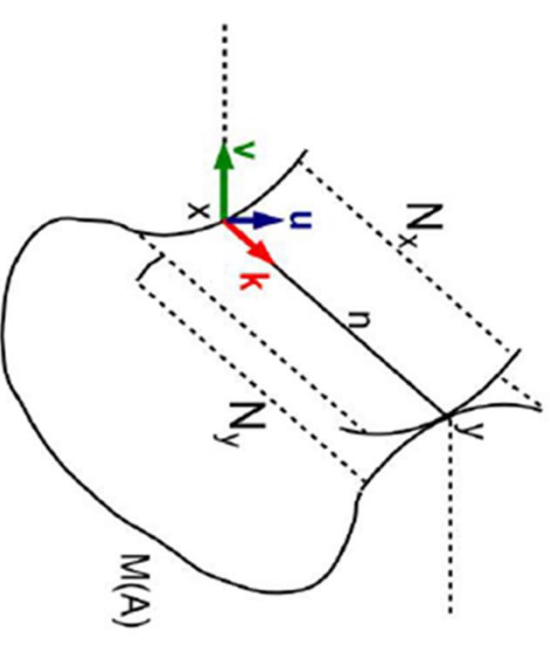
$$\Sigma \rightarrow \Sigma'$$

$$\text{Area}[\text{min}(A, \Sigma')] \leq \text{Area}[M(A)].$$

$u$ 是从 $\Sigma$ 指向 $\Sigma'$ 的向量, 在所有 $\Sigma$ 中,  $M(A)$ 的面积最大, 因此沿 $u$ 面积变小, 膨胀率 $\theta$ 小于0  $\rightarrow K_i u^i \leq 0$

然而 $u$ 可以写成 $k$ 和 $v$ 的正系数的线性组合  $\rightarrow K_i u^i > 0$

两者矛盾



Maximal+Minimal



Extremal



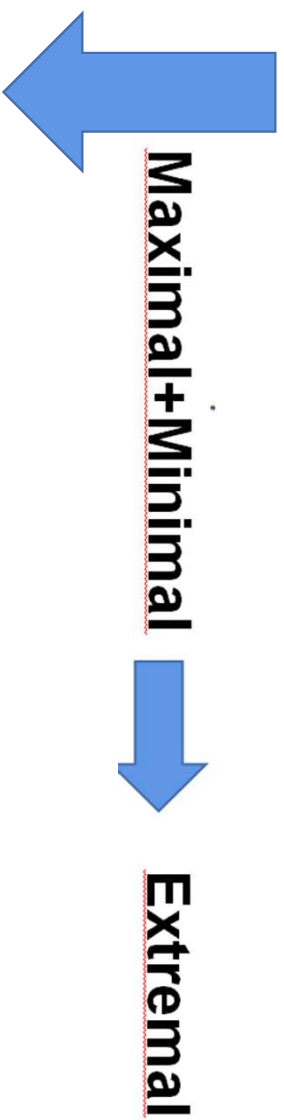
$M(A)$  has less area than any extremal surface  $x(A)$  which does not lie on  $\Sigma$ .

$$\text{Area}[M(A)] \leq \text{Area}[\tilde{x}(A)] \leq \text{Area}[x(A)]$$



$M(A)$  is minimal on  $\Sigma$

Raychaudhuri equation

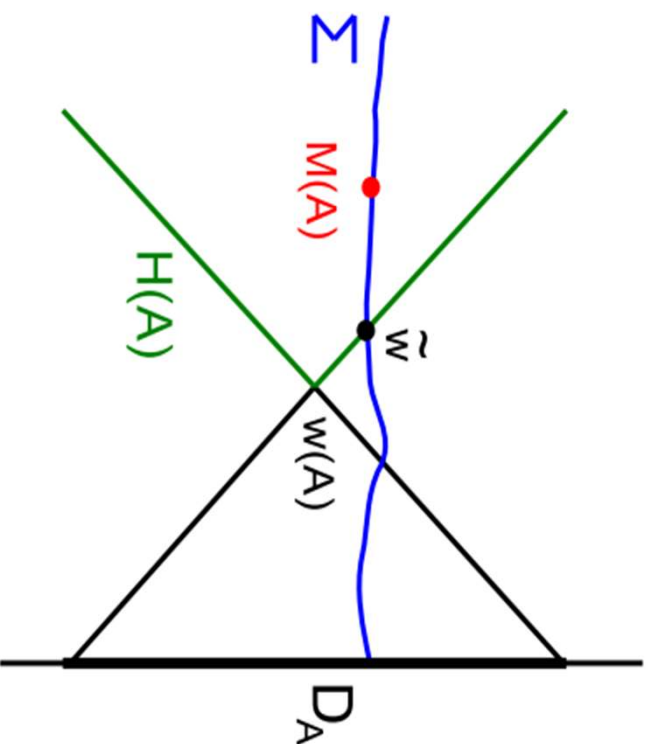


Maximin Surface ↔ HRT Surface

# Properties of Maximin/HRT Surfaces

Less Area than the Causal Surface

$$\text{Area}[w(A)] > \text{Area}[\tilde{w}(A, \Sigma)] > \text{Area}[m(A)]$$



By the Second Law of horizons, the area of  $H(A)$  decreases when moving away from  $w(A)$ .

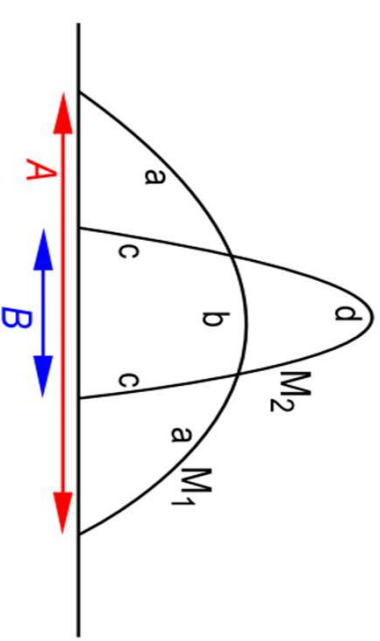
# Moves outwards as the Boundary Region Grows

If  $A \supset B$ , then  $r(A) \supset r(B)$ , with  $m(A)$  spacelike to  $m(B)$ .

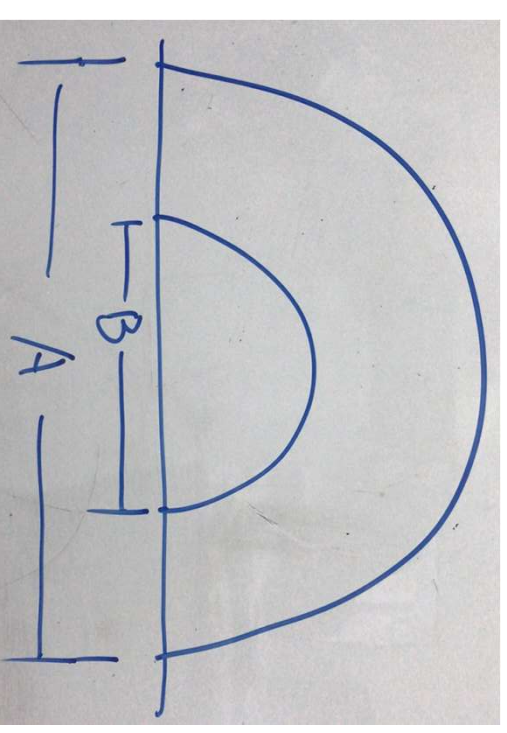
①  $M_1$  must lie spatially outside or on  $M_2$ .

If  $\text{Area}[b] < \text{Area}[d]$ , then  $\text{Area}[bc] < \text{Area}[cd]$  which contradicts the minimality of  $M_2$ .

If  $\text{Area}[b] > \text{Area}[d]$ , then  $\text{Area}[ad] < \text{Area}[ab]$  which contradicts the minimality of  $M_1$ .



②  $M_1$  and  $M_2$  cannot exactly coincide, because they are anchored to different points on the boundary  $\text{tr}(K) = 0$



正确图像

# Strong Subadditivity

(a) Exists a spacelike slice  $\Sigma$  on which both  $m(ABC)$  and  $m(B)$  lie as minimal surfaces, with  $m(ABC)$  everywhere outside of  $m(B)$ .

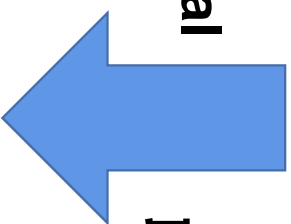
(b)  $\text{Area}[\tilde{m}(AB, \Sigma)] + \text{Area}[\tilde{m}(BC, \Sigma)] \geq \text{Area}[m(ABC)] + \text{Area}[m(B)]$ ,

① 同一 $\Sigma$ 面上的次可加性

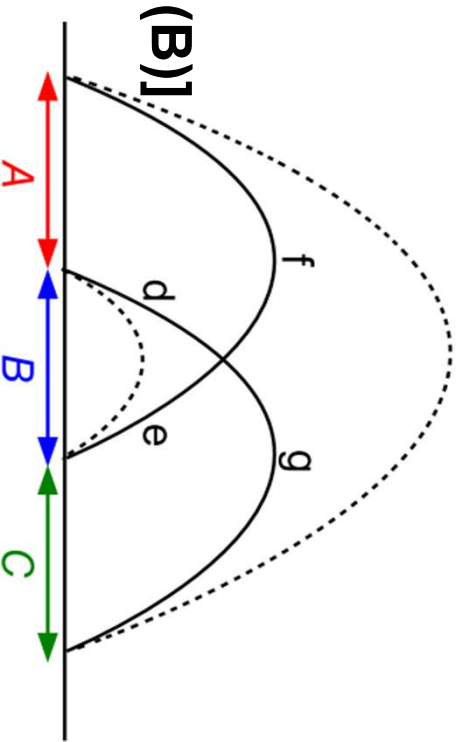
(c)  $\text{Area}[m(AB)] + \text{Area}[m(BC)] \geq \text{Area}[\tilde{m}(AB, \Sigma)] + \text{Area}[\tilde{m}(BC, \Sigma)]$

② 由Maximal Minimal

联立①②



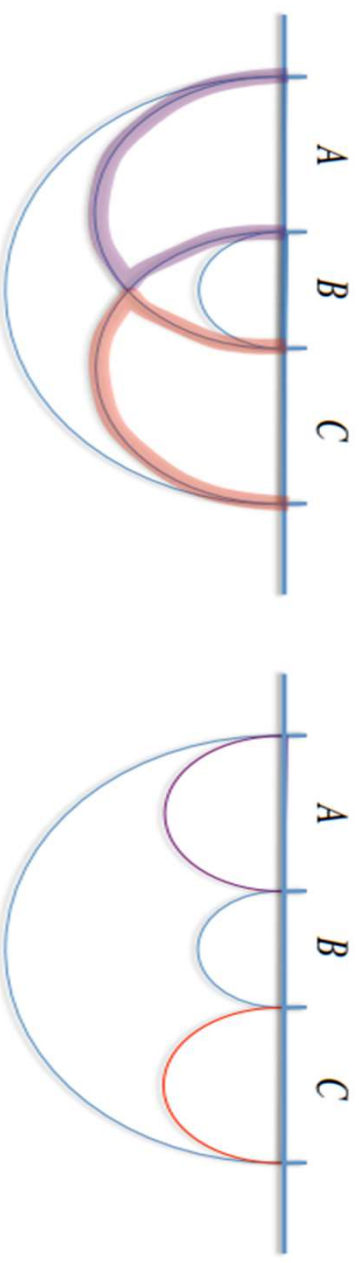
$\text{Area}[m(AB)] + \text{Area}[m(BC)] \geq \text{Area}[m(ABC)] + \text{Area}[m(B)]$



# Monogamy of Mutual Information

$$\begin{aligned} \text{Area}[m(AB)] + \text{Area}[m(BC)] + \text{Area}[m(AC)] &\geq \\ \text{Area}[\sim m(AB)] + \text{Area}[\sim m(BC)] + \text{Area}[\sim m(AC)] &\geq \\ \text{Area}[m(A)] + \text{Area}[m(B)] + \text{Area}[m(C)] + \text{Area}[m(ABC)] & \end{aligned}$$

第一个不等号由引理3:  
 $\text{Area}[m(AB)] \geq \text{Area}[\sim m(AB)]$  可得



i)  $S(AB) + S(BC) + S(AC)$

ii)  $S(A) + S(B) + S(C) + S(ABC)$

第二个不等号由在同一 $\Sigma$ 面上的相互信息的性质可以得到

$$\begin{aligned} \text{Area}[m(AB)] + \text{Area}[m(BC)] + \text{Area}[m(AC)] &\geq \\ \text{Area}[m(A)] + \text{Area}[m(B)] + \text{Area}[m(C)] + \text{Area}[m(ABC)] & \end{aligned}$$

# Quantum Extremal Surface

# Holographic EE

HRT entanglement entropy of region R  $\longrightarrow$  extremal surface X

X is spacelike, codimension 2, extremal surface,  $\partial X = \partial R$ , X is homologous to R

$$S(R) = A(X)/4G\hbar \quad \mathcal{O}(1/\hbar)$$

FLM  $S_R = \frac{\langle A(X) \rangle}{4G\hbar} + S_{ent} + \text{counterterms}$   $S_{ent}$  is the bulk entanglement entropy across X  
 $\mathcal{O}(\hbar^0)$

$$S_R = S_{\text{gen}}(X)$$

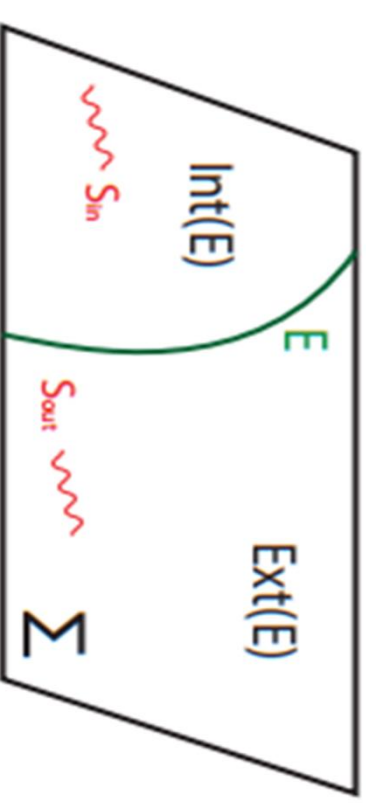
E spacelike codimension 2 surface

$\Sigma$  Cauchy surface

$$S_{\text{gen}}(H) = \frac{\langle A(H) \rangle}{4G\hbar} + S_{\text{out}} + \text{counterterms}$$

Pure  $S_{\text{in}}(E) = S_{\text{out}}(E)$

Mixed Same side as the region R



(a) extremize the area and then add  $S_{\text{out}}$  FLM

(b) extremize the total generalized entropy  $S_{\text{gen}}$



# Definitions

**Timelike or null worldline  $W^+$  observer**

**Future causal horizon  $H^+ = \partial I^-(W^+)$**

**Cauchy surface  $\Sigma$**

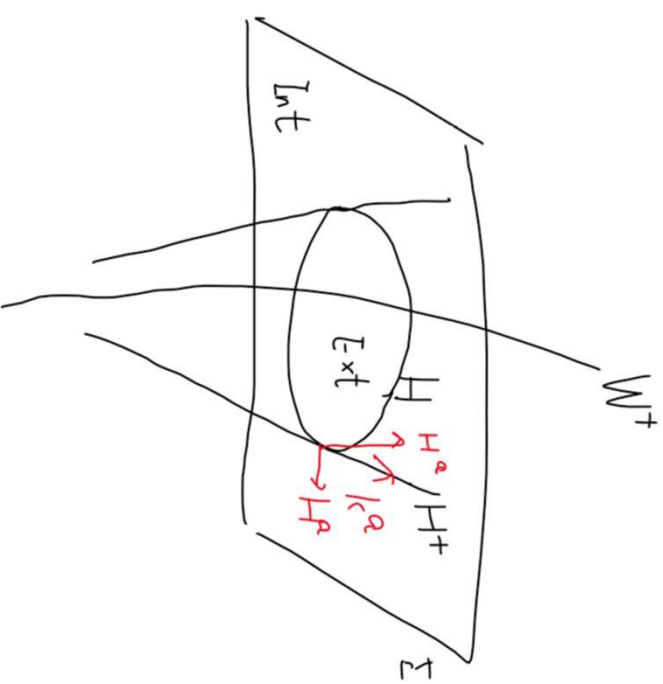
**Horizon slice  $H = \Sigma \cap H^+$**

**GSL**

$$\frac{\delta S_{\text{gen}}(H)}{\delta H_a} k^a \geq 0$$

$H^a$  is a normal vector field living on the surface  $H$

$k^a$  is a future-pointing null vector parallel to the null generators of  $H^+$

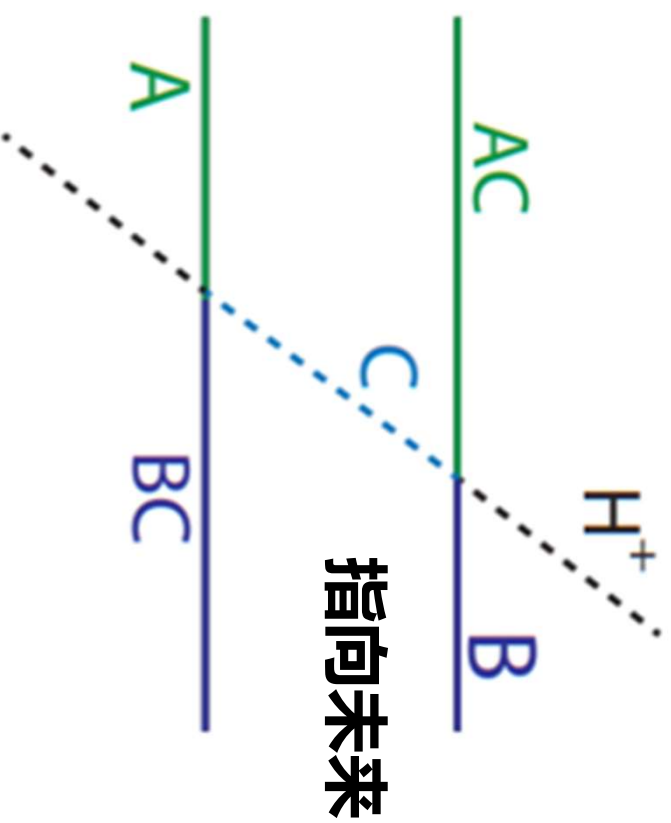


## Strong subadditivity

$$S(AC) + S(BC) \geq S(A) + S(B)$$

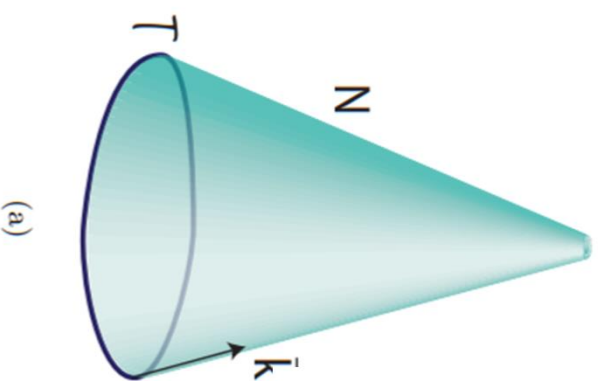
Future causal horizon  $H^+ = \partial I^-(W^+)$

$$\frac{\delta S_{\text{in}}(H)}{\delta H^a} k^a \geq \frac{\delta S_{\text{out}}(H)}{\delta H^a} k^a$$



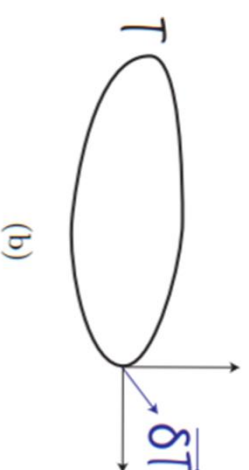
Spacelike, codimension 2 surface on Cauchy surface

$$\frac{\delta S_{\text{gen}}}{\delta T_a} k^a < 0$$



(a)

$$\frac{\delta S_{\text{gen}}}{\delta \mathcal{X}^a} = 0$$



(b)

Quantum trapped surface  $\mathcal{T}$

**Conjecture:** The entanglement entropy of a region  $R$  in a field theory with a holographic dual is given at any order in  $\hbar$  in the holographic dual by the generalized entropy of the quantum extremal surface  $\mathcal{X}_R$  anchored at  $R$  and homologous to  $R$ :

$$S_R = S_{\text{gen}}(\mathcal{X}_R) \tag{3.1}$$

**Comparison to Faulkner–Lewkowycz–Maldacena Formula**

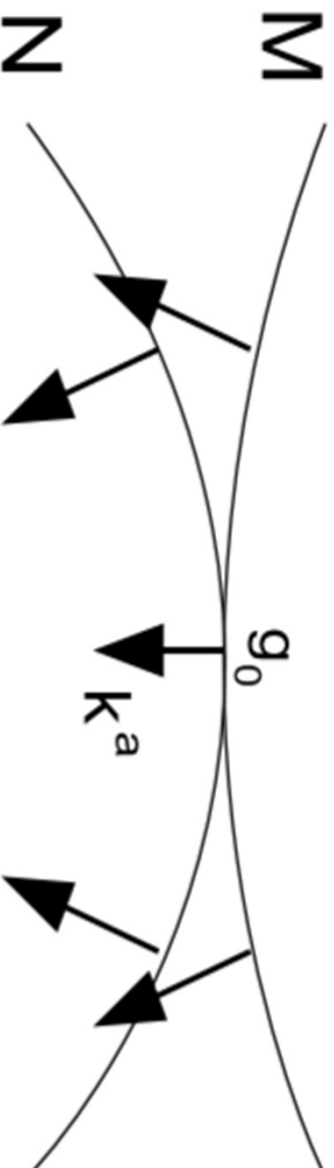
$$S_{\text{gen}}(X_R) = S_{\text{gen}}(\mathcal{X}_R) + \mathcal{O}(\hbar^1)$$

$$A(X_R) - A(\mathcal{X}_R) = \mathcal{O}(\hbar^2).$$

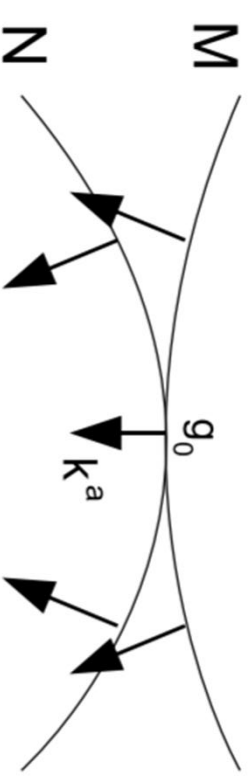
**Theorem 2.1.** (from [27]): Let  $M$ ,  $N$  be null splitting surfaces (i.e. codimension 1 surfaces which divide spacetime into two regions [26] and have an open exterior) which coincide at a point  $p$  and let  $\Sigma$  be a spacelike slice that goes through  $p$ . If (1)  $M \cap \text{Ext}(N) = \emptyset$ , and (2)  $M$ ,  $N$  are smooth at the classical order near  $p$ , and the spacetime is described by an  $\hbar$  expansion there, then there exists a way of evolving  $\Sigma$  forward in time in a neighborhood of  $p$  so that

$$\Delta S_{\text{gen}}(M) \geq \Delta S_{\text{gen}}(N) \tag{2.10}$$

with equality only if  $M$  and  $N$  coincide at a neighborhood.



**Lemma B:** In any small neighborhood of  $g_0$ , either there is a point  $X$  at which  $\theta^{(M)} > \theta^{(N)}$ , or else  $M$  and  $N$  coincide everywhere in that neighborhood. In the former case, the area increases faster on  $M$  than  $N$  when  $\Sigma$  is pushed forwards in time sufficiently close to the point  $X$ ; in the latter case, the area increase is the same for  $M$  and  $N$  in the whole neighborhood. Either way, Theorem 1 holds classically.



**Lemma C:** If the two surfaces  $N$  and  $M$  coincide in a neighborhood of  $g_0$ , and  $\Sigma$  is evolved forwards in time to  $\Sigma'$  in this neighborhood, the entropy  $S_{\text{out}}$  is increasing faster on  $M$  than on  $N$ .

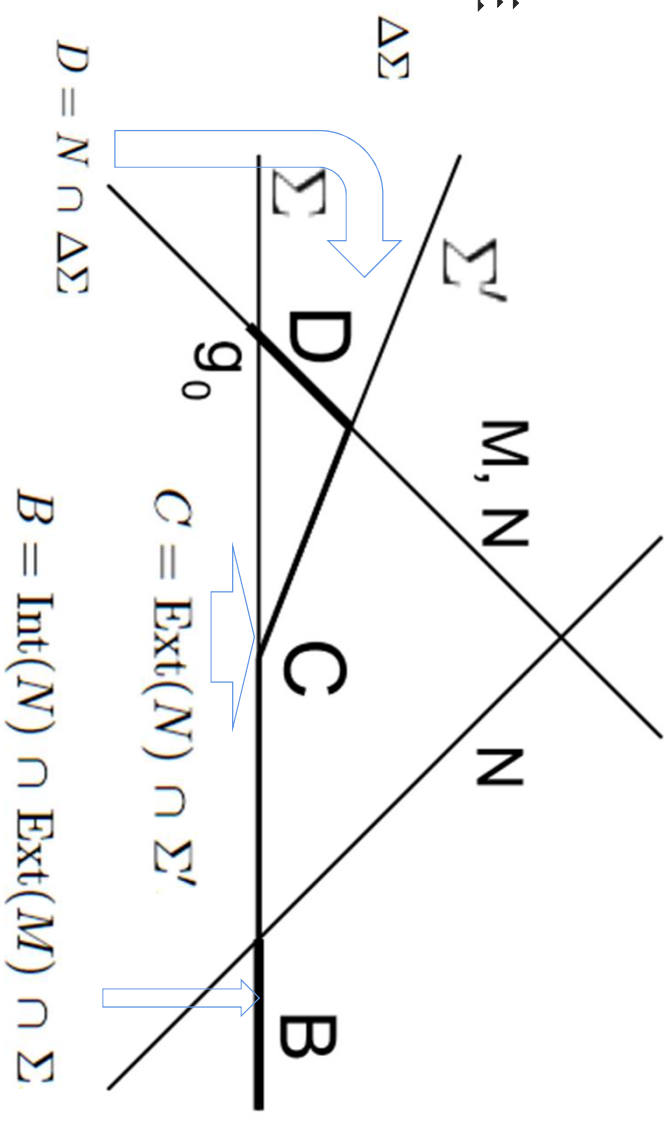


$$I(B, C) = S(B) + S(C) - S(B \cup C).$$

↓ 互信息的单调性

$$I(B, C \cup D) \geq I(B, C).$$

定义:



$$I(B, C) = S(B) + S(\text{Ext}(N) \cap \Sigma') - S(\text{Ext}(M) \cap \Sigma').$$

$$I(B, C \cup D) = S(B) + S(\text{Ext}(N) \cap \Sigma) - S(\text{Ext}(M) \cap \Sigma),$$

↓

$$\Delta S_{\text{out}}(M) \geq \Delta S_{\text{out}}(N),$$

**Proof of Theorem 1:** In the semiclassical limit, any effect which is higher order in  $\hbar$  will be dominated by any nonzero effect which is lower order in  $\hbar$ . Let the leading order contribution to  $\theta^{(M)} - \theta^{(N)}$  be of order  $\hbar^{p+1}$ , which corresponds to an order  $\hbar^p$  contribution to  $\Delta S_{\text{H}}^{(M)} - \Delta S_{\text{H}}^{(N)}$ , since the Bekenstein-Hawking entropy (9) has an  $\hbar$  in the denominator. Lemma B says that at every order in  $\hbar$ , either  $N$  and  $M$  coincide or else  $\Delta S_{\text{H}}^{(M)} - \Delta S_{\text{H}}^{(N)} > 0$  for an appropriate choice of  $\Sigma$  evolution. By applying Lemma B to order  $\hbar^{p+1}$ , one obtains that the order  $\hbar^p$  contribution to  $\Delta S_{\text{H}}^{(M)} - \Delta S_{\text{H}}^{(N)}$  is positive. By applying Lemma B at order  $\hbar^p$ , one obtains that  $N$  and  $M$  coincide at order  $\hbar^p$ . Since  $Q$  is subleading, there is no  $\hbar^p$  order contribution coming from  $\Delta Q^{(M)} - \Delta Q^{(N)}$ .

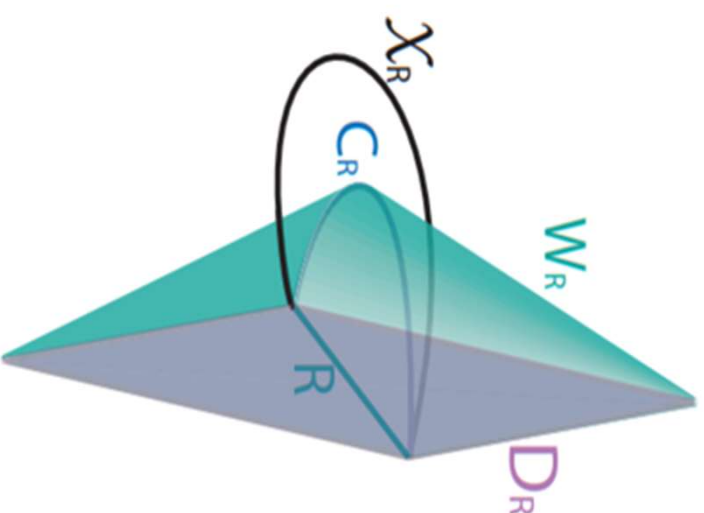
Let the leading order contribution to  $\Delta S_{\text{out}}^{(M)} - \Delta S_{\text{out}}^{(N)}$  be of order  $\hbar^q$ . If  $p \leq q$ , then the area term dominates over the entropy term. If  $p \geq q$ , then since at this order the null surfaces coincide, Lemma C says that the  $S_{\text{out}}$  increases faster for  $M$  than  $N$ . Either way, Theorem 1 follows.

$$S_{\text{gen}} = \frac{A}{4\hbar G} + Q + S_{\text{out}},$$



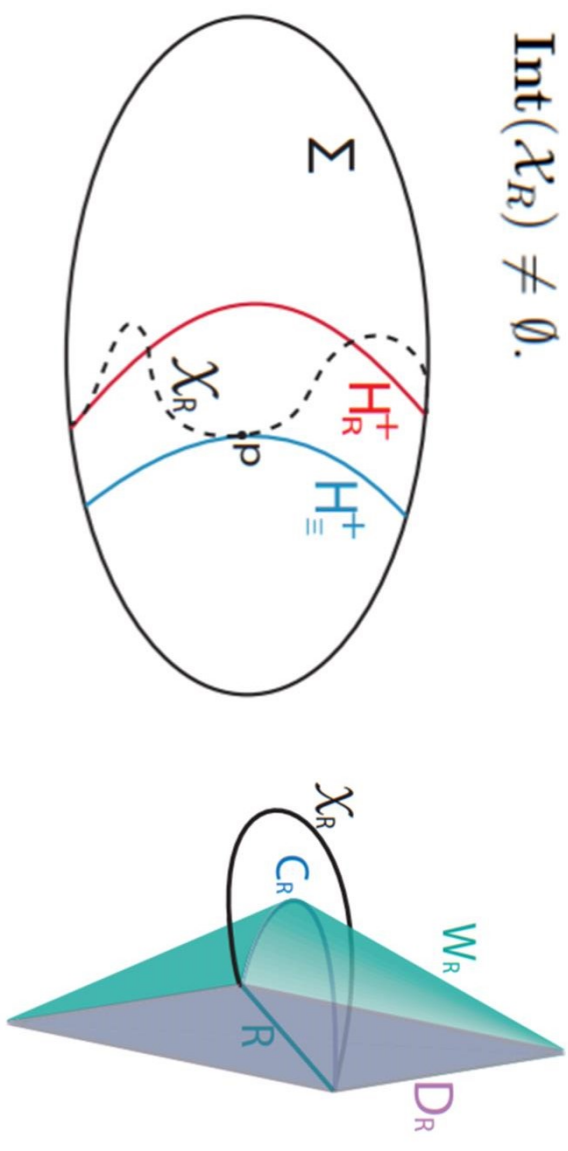
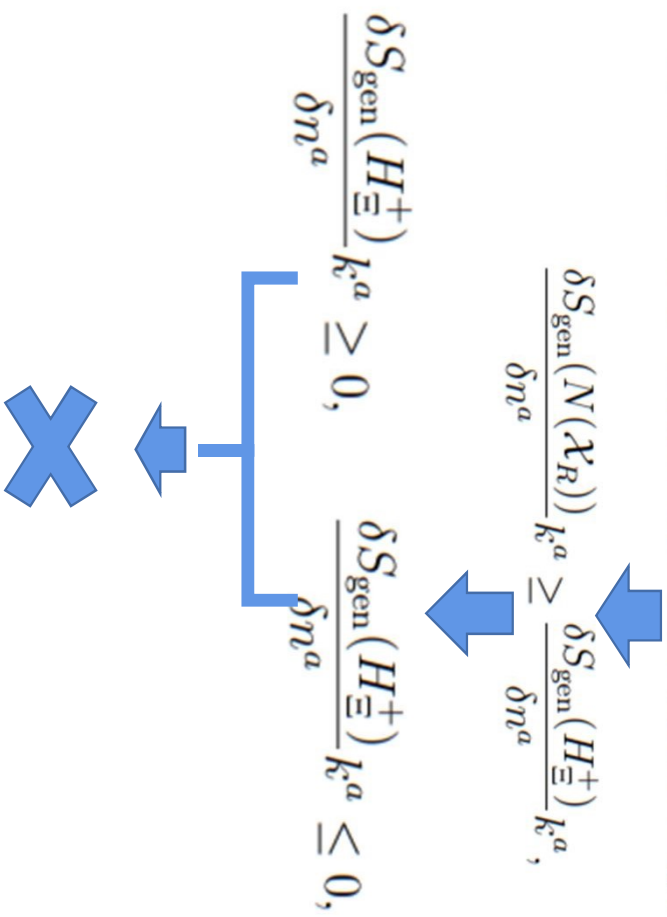
# Quantum extremal surfaces lie deeper than causal surfaces

$W_R$	bulk causal wedge
$C_R$	causal surface
$D_R$	domain of dependence
$\chi_R$	quantum extremal surface



**Theorem 4.1.** *A quantum extremal surface  $\mathcal{X}_R$  can never intersect  $W_R$ . The surface  $\mathcal{X}_R$  is more-  
 over generically spacelike separated from the causal surface  $C_R$ , but might be null separated from  
 or coincide with  $C_R$  in non-generic spacetimes.*

Assume for contradiction that  $C_R \cap \text{Int}(\mathcal{X}_R) \neq \emptyset$ .



**Theorem 4.2.** *The quantum apparent horizon always lies inside the horizon.*